



KATRIN

the Karlsruhe Tritium Neutrino Experiment

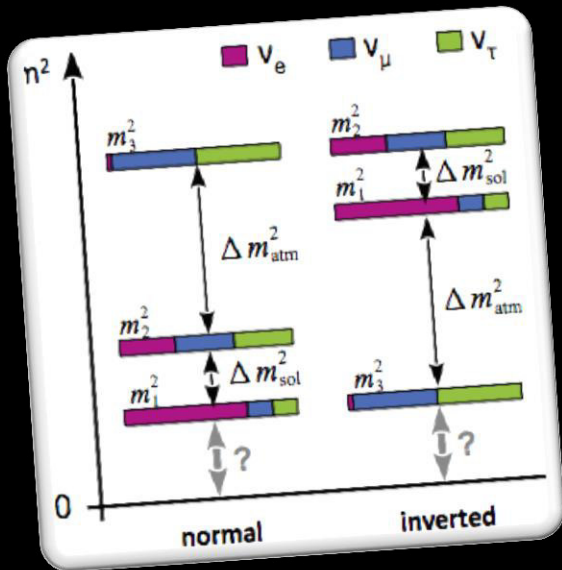
Joachim Wolf

Institute of Experimental Particle Physics

MPIA, Heidelberg, 02.02.2018

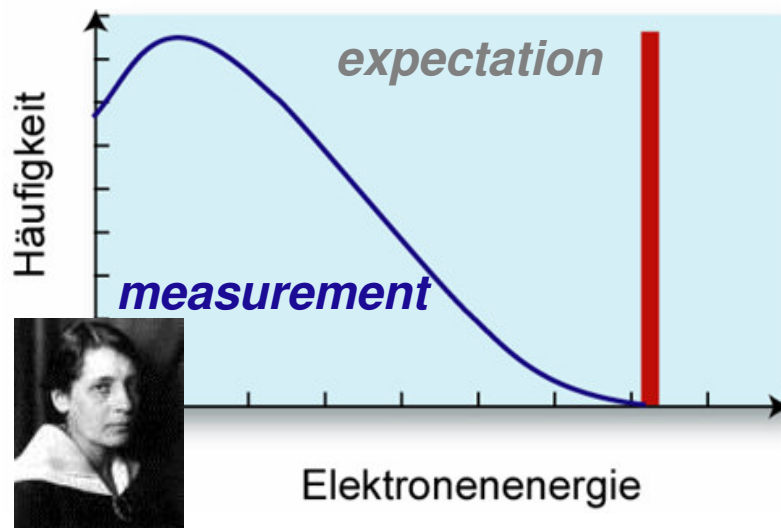
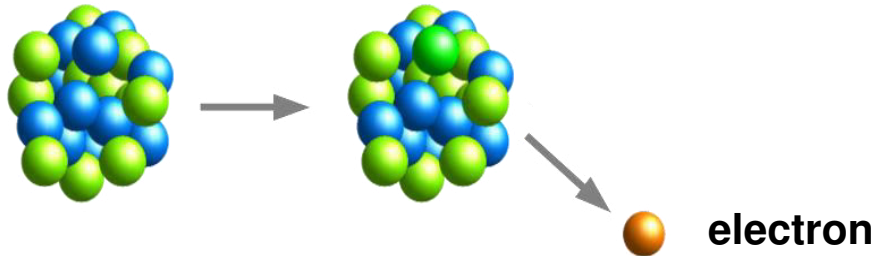
- A brief history of neutrinos
- Neutrino mass measurement
- The KATRIN experiment
- Future projects

A brief history of neutrinos



Discovery of the neutrinos

β -decay



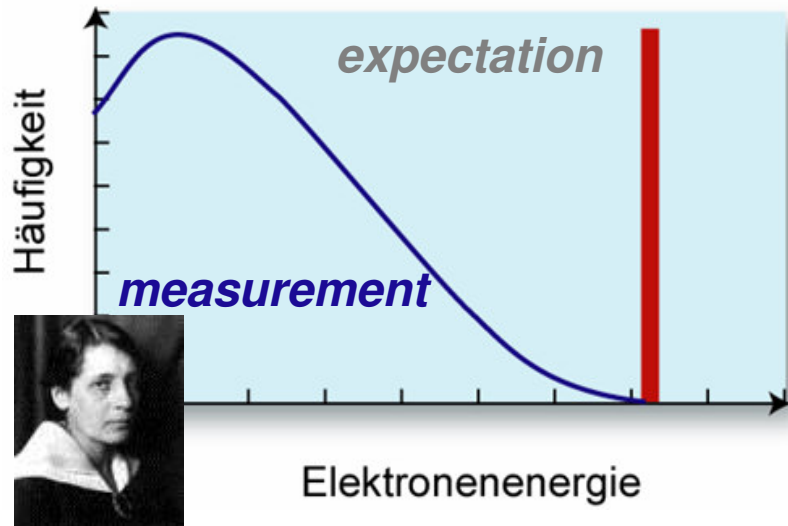
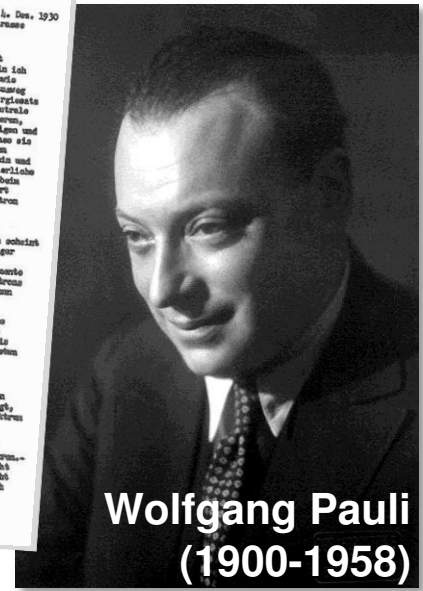
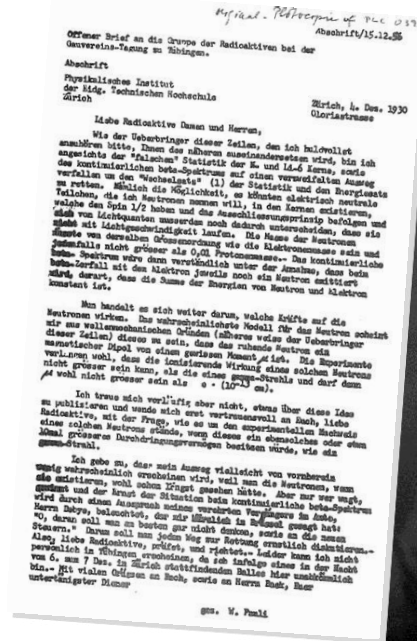
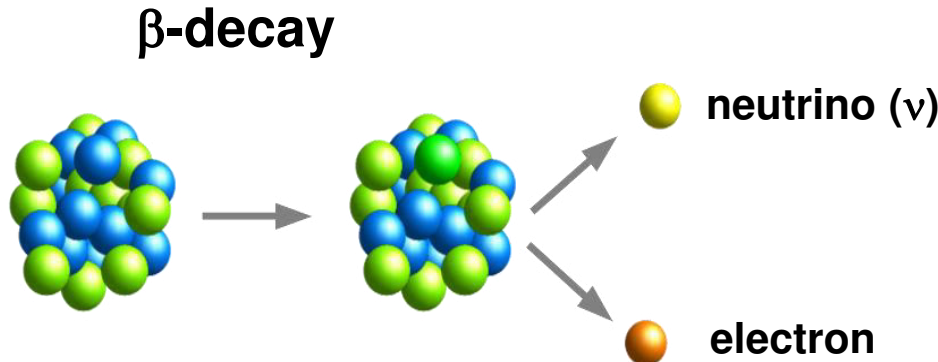
1912-1930

Investigation of **radioactive materials**, which emit **electrons**.

+ energy conservation
+ momentum conservation



Discovery of the neutrinos

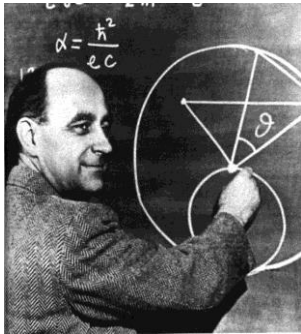


1930

Proposal: in addition to the electron another, neutral and very light particle is emitted, sharing the energy.

- + energy conservation
 - + momentum conservation
-

Discovery of the neutrinos



1934

Enrico Fermi develops a successful theory for β -decay, including the neutrino.



1935

Hans Bethe calculates the probability to detect neutrinos. Absorber has to be 10'000'000'000'000'000'000 m (1000 LJ) thick to stop a neutrino !



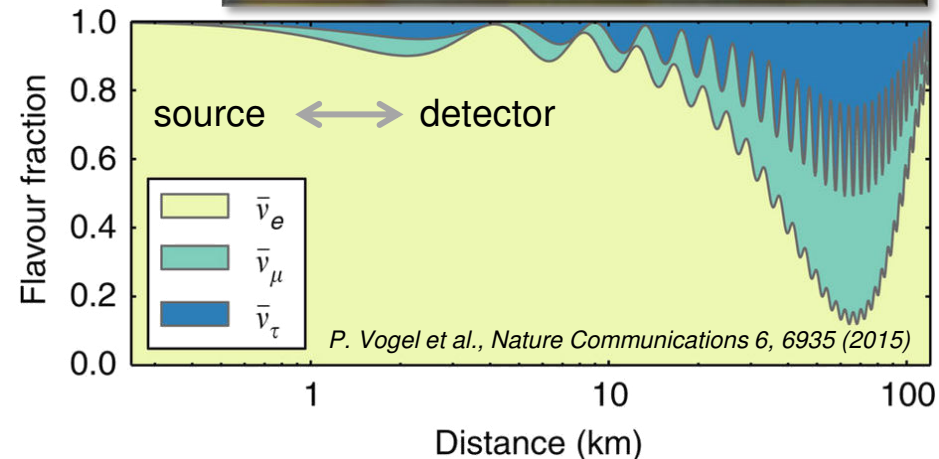
1957

Reines & Cowan are the first to detect neutrinos at a nuclear reactor.

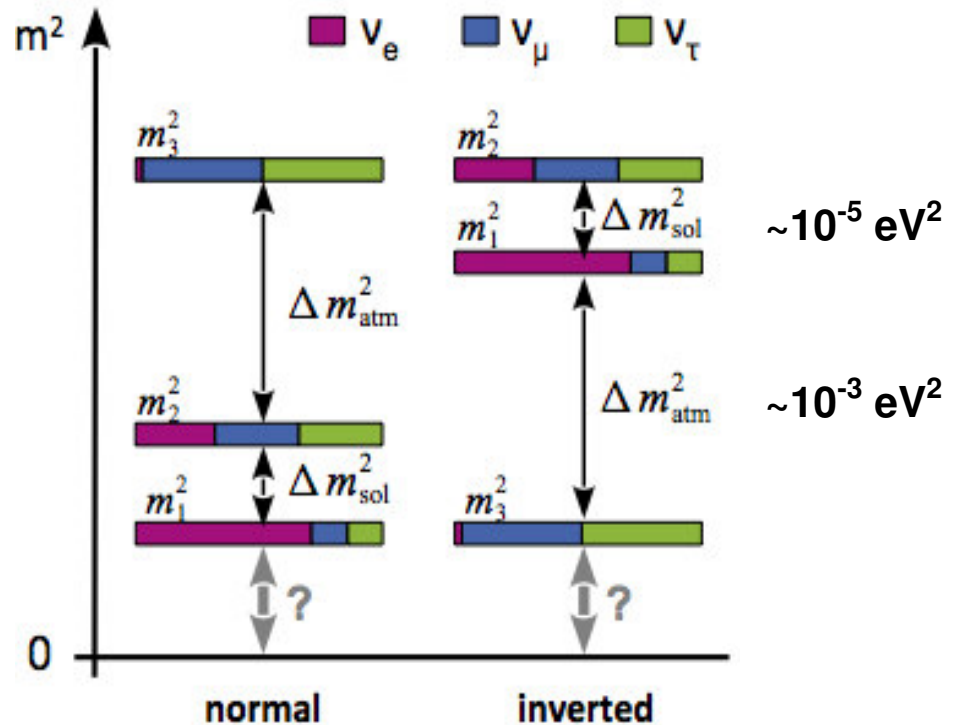
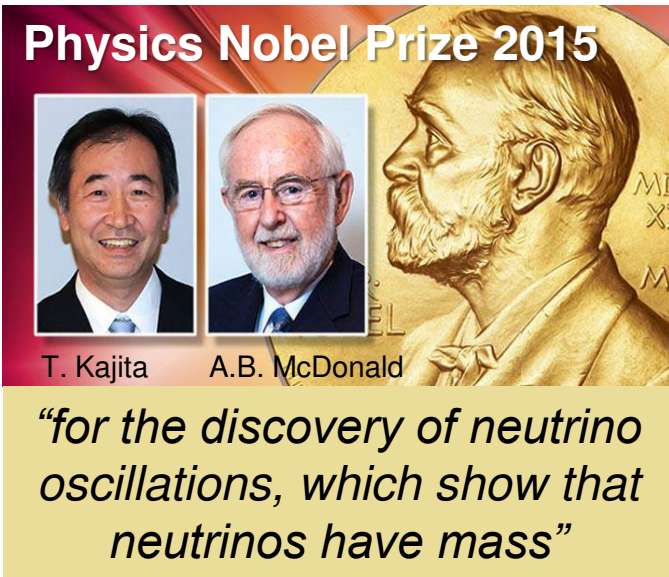


Neutrino mass and flavor-oscillation

- Neutrinos come in three flavors: ν_e ν_μ ν_τ
- Heisenberg: **mass** and **flavor** cannot be measured at the same time
- Neutrino properties can assume **three Eigenvalues** for **mass** (ν_1 ν_2 ν_3) and **flavor** (ν_e ν_μ ν_τ)
- Neutrino with a **unique flavor**: **mix of three mass Eigenvalues**
- Three **mass eigenfunctions** with different velocities \rightarrow phase shift
- **ν -oscillation** along the path of flight
- Oscillation length depends on:
 - $\Delta m^2 = m_{\nu_1}^2 - m_{\nu_2}^2$
 - **neutrino energy**



Neutrino mass and flavor-oscillation



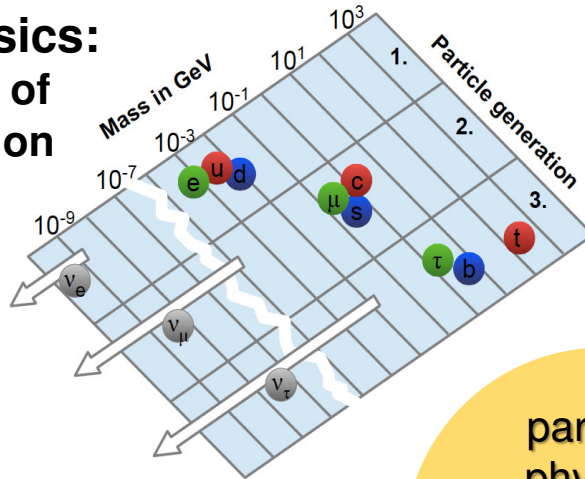
Neutrino oscillations observed:

- solar neutrinos
- atmospheric neutrinos (cosmic rays)
- neutrinos from nuclear reactors
- accelerator neutrinos

- Large neutrino mixing and tiny neutrino masses $m(\nu_i) \neq 0$ established
- ν oscillation depends on $\Delta m^2 = m_1^2 - m_2^2$
- **What is the absolute ν mass scale?**

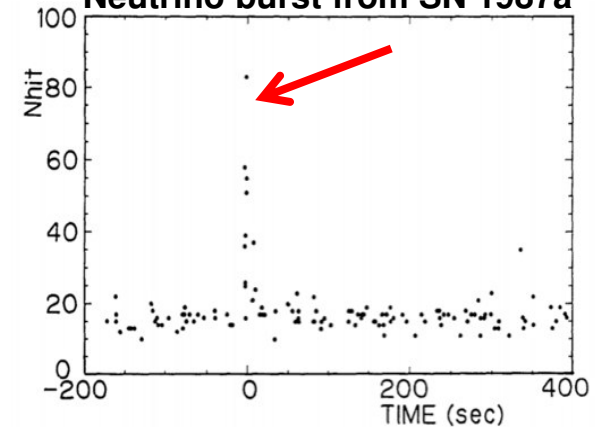
The role of massive neutrinos

Particle Physics:
new concepts of
mass generation

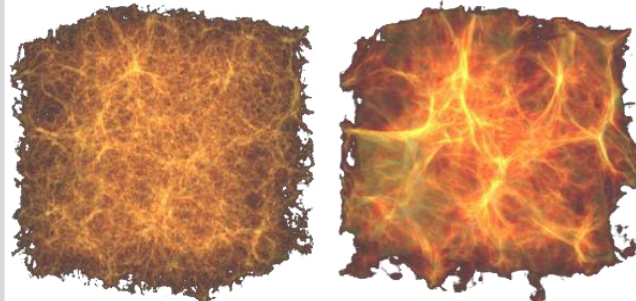


Astrophysics:
understanding
 ν -related processes

Neutrino burst from SN 1987a

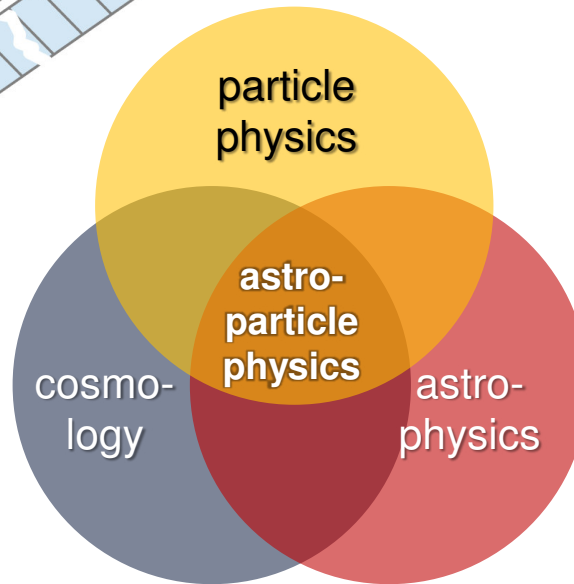


Cosmology:
massive neutrinos as
“cosmic architects”

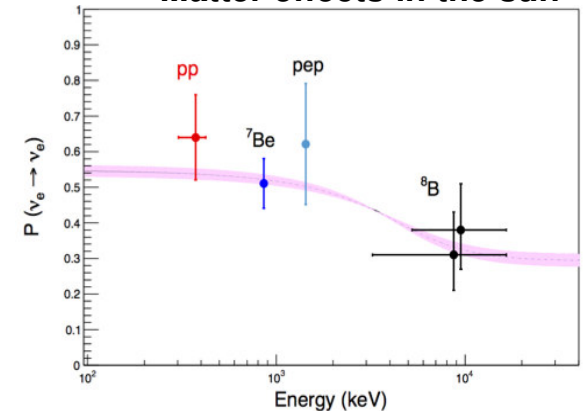


$m_\nu = 0$

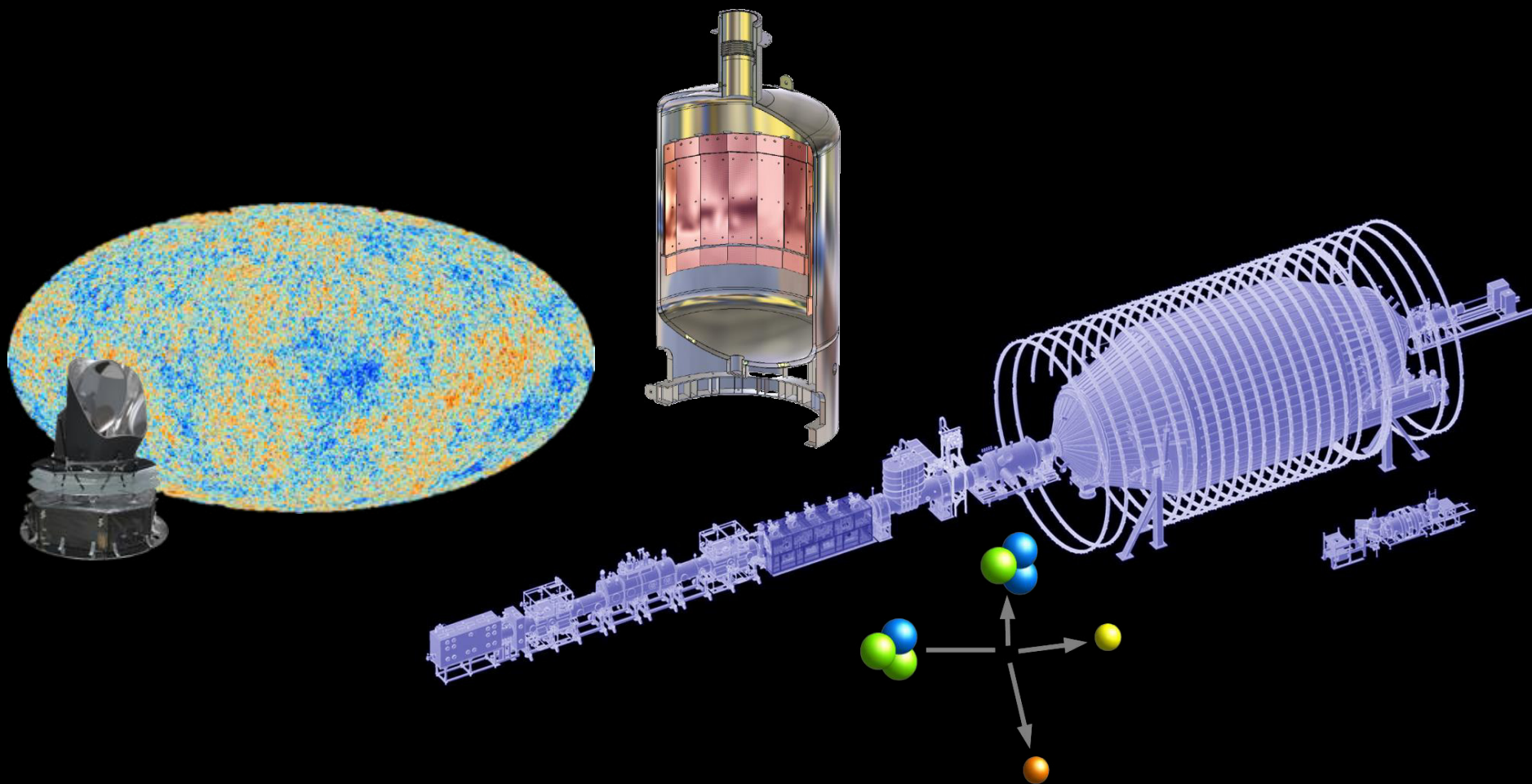
$m_\nu > 0$



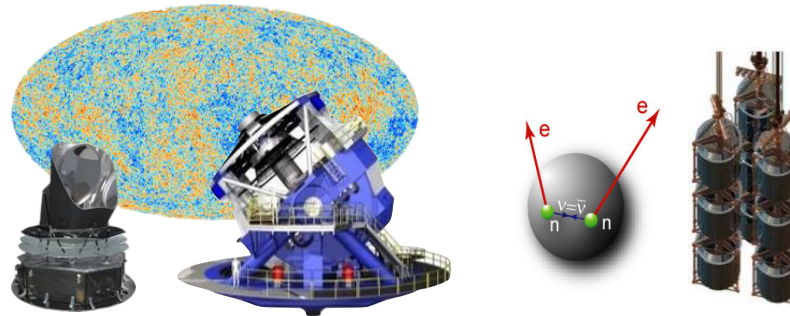
Matter effects in the sun

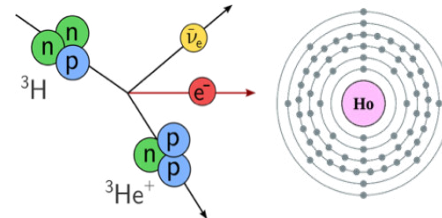


Neutrino mass measurement



Complementary paths to the ν mass scale





β -decay & electron capture

Observable $m_{\beta}^2 = \sum_i |U_{ei}|^2 m_i^2$

Present upper limit 2 eV

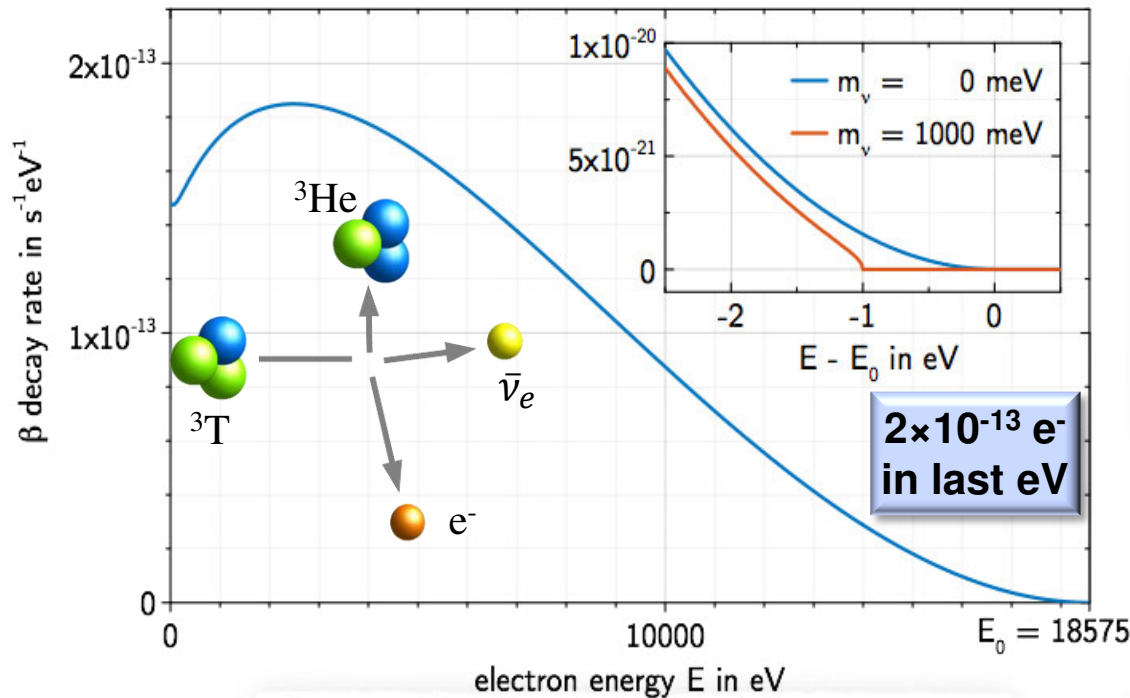
Model dependence **Direct**, only kinematics; no cancellations in incoherent sum

→ this talk

	Cosmology	Search for $0\nu\beta\beta$
Observable	$M_{\nu} = \sum_i m_i$	$m_{\beta\beta}^2 = \sum_i U_{ei}^2 m_i ^2$
Present upper limit	0.12 – 1 eV	0.2 – 0.4 eV
Model dependence	Multi-parameter cosmological model	<ul style="list-style-type: none"> - Majorana ν - contributions other than $m(\nu)$? - nuclear matrix elements

Direct kinematic determination of $m(\nu_e)$

$$\frac{d\Gamma}{dE} = C F(Z, E) p(E + m_e) (E_0 - E) \sum_i |U_{ei}|^2 \sqrt{(E_0 - E)^2 - m^2(\nu_i)}$$



Key requirements:

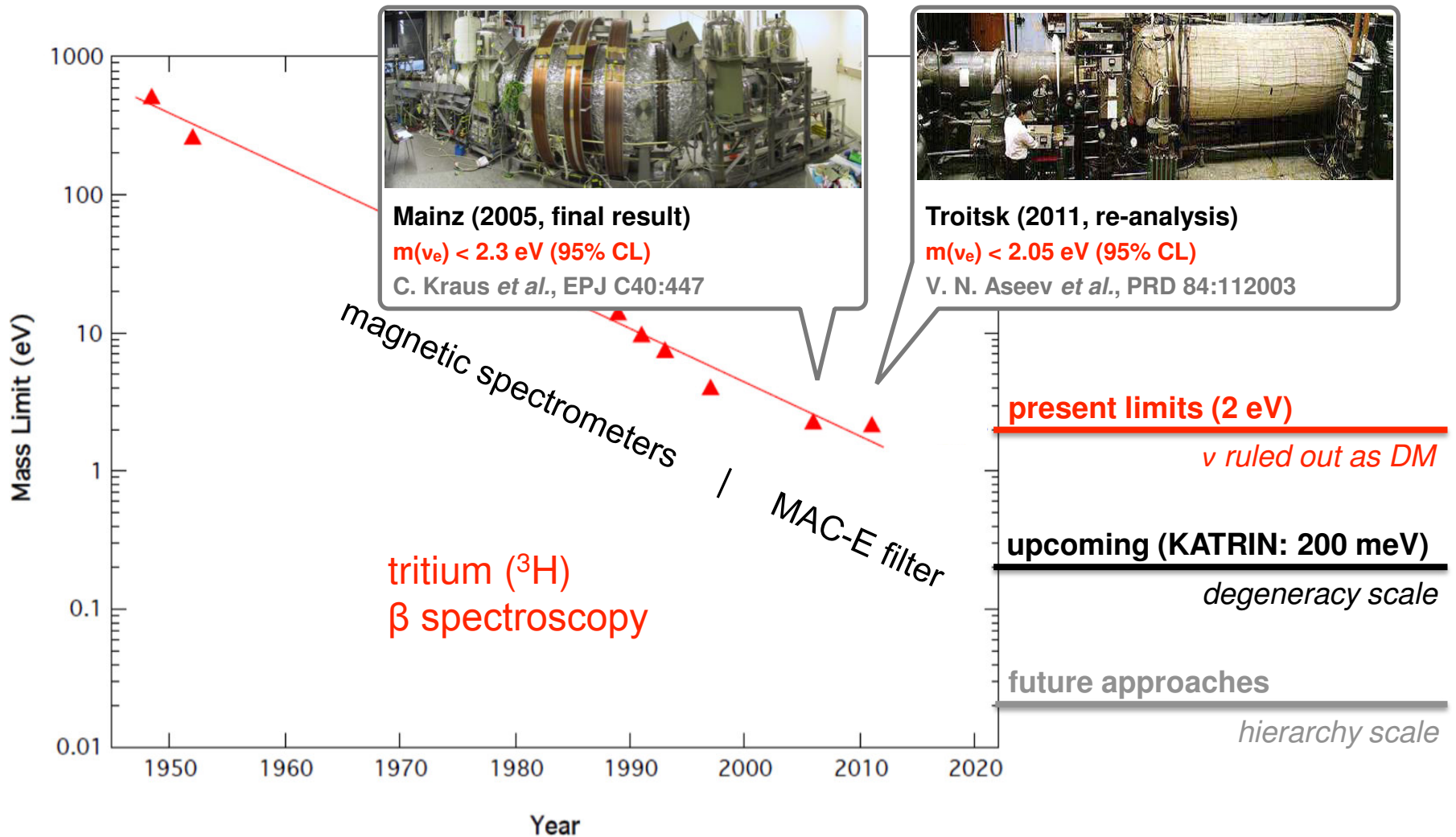
- high-activity source
- low-endpoint β emitter (3H) or EC isotope (^{163}Ho)
- excellent energy resolution (MAC-E filter or calorimeter)

kinematic measurement can probe for **heavier neutrino states**
 → eV-scale and keV-scale sterile ν

spectral distortion measures **“effective” mass square:**

$$m^2(\nu_e) := \sum_i |U_{ei}|^2 m_i^2$$

Moore's Law of direct ν mass searches

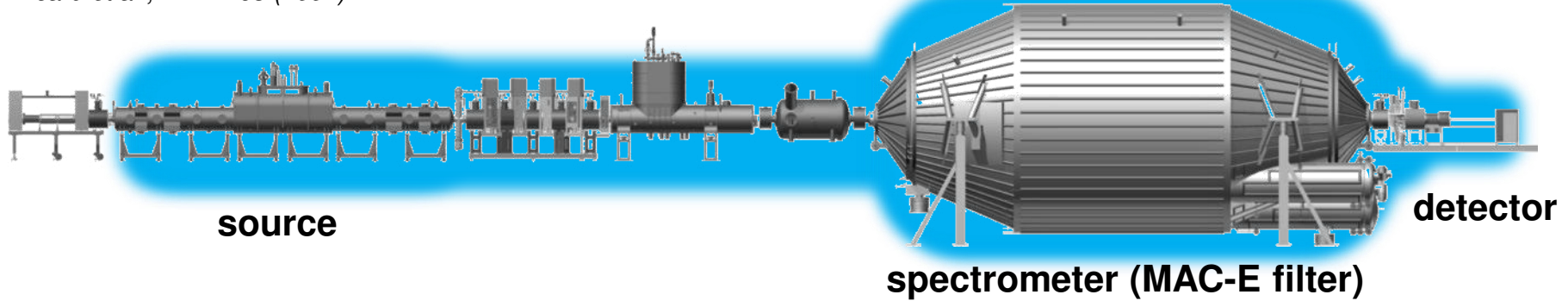


[courtesy J.F. Wilkerson/R.G.H. Robertson]

The MAC-E Filter

A. Picard et al., NIM B 63 (1992)

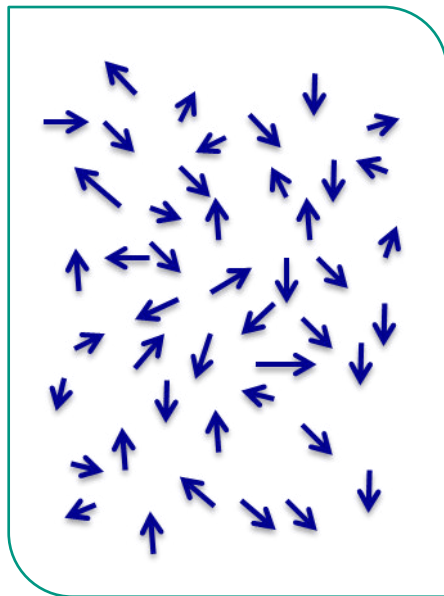
Magnetic Adiabatic Collimation
with Electrostatic Filter



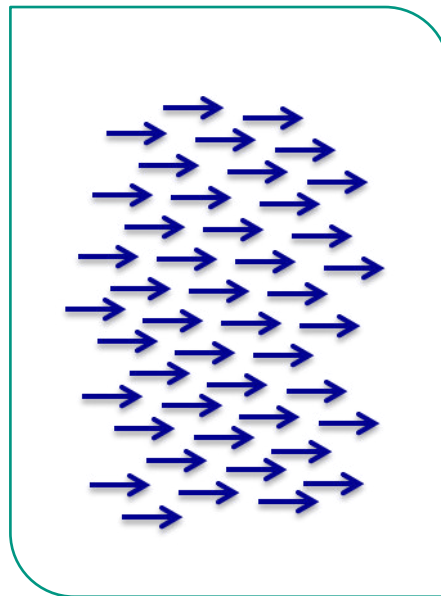
source

spectrometer (MAC-E filter)

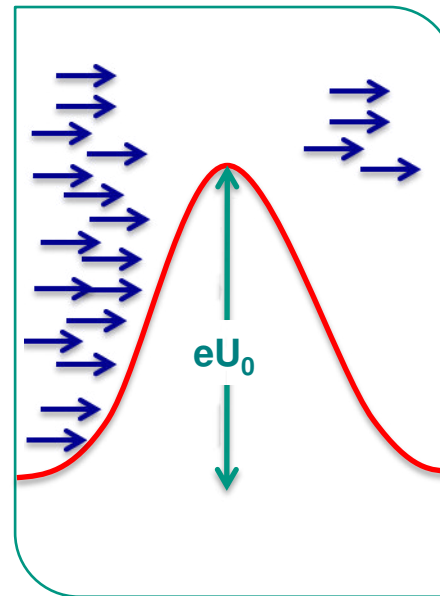
detector



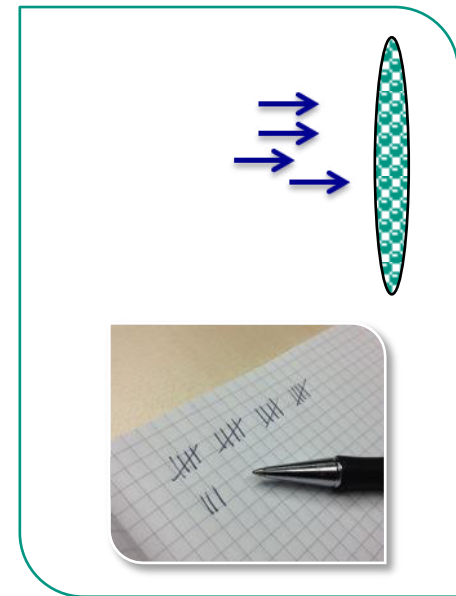
isotropically emitted tritium β -electrons



adiabatically collimated by magnetic field



electrons filtered by electric potential

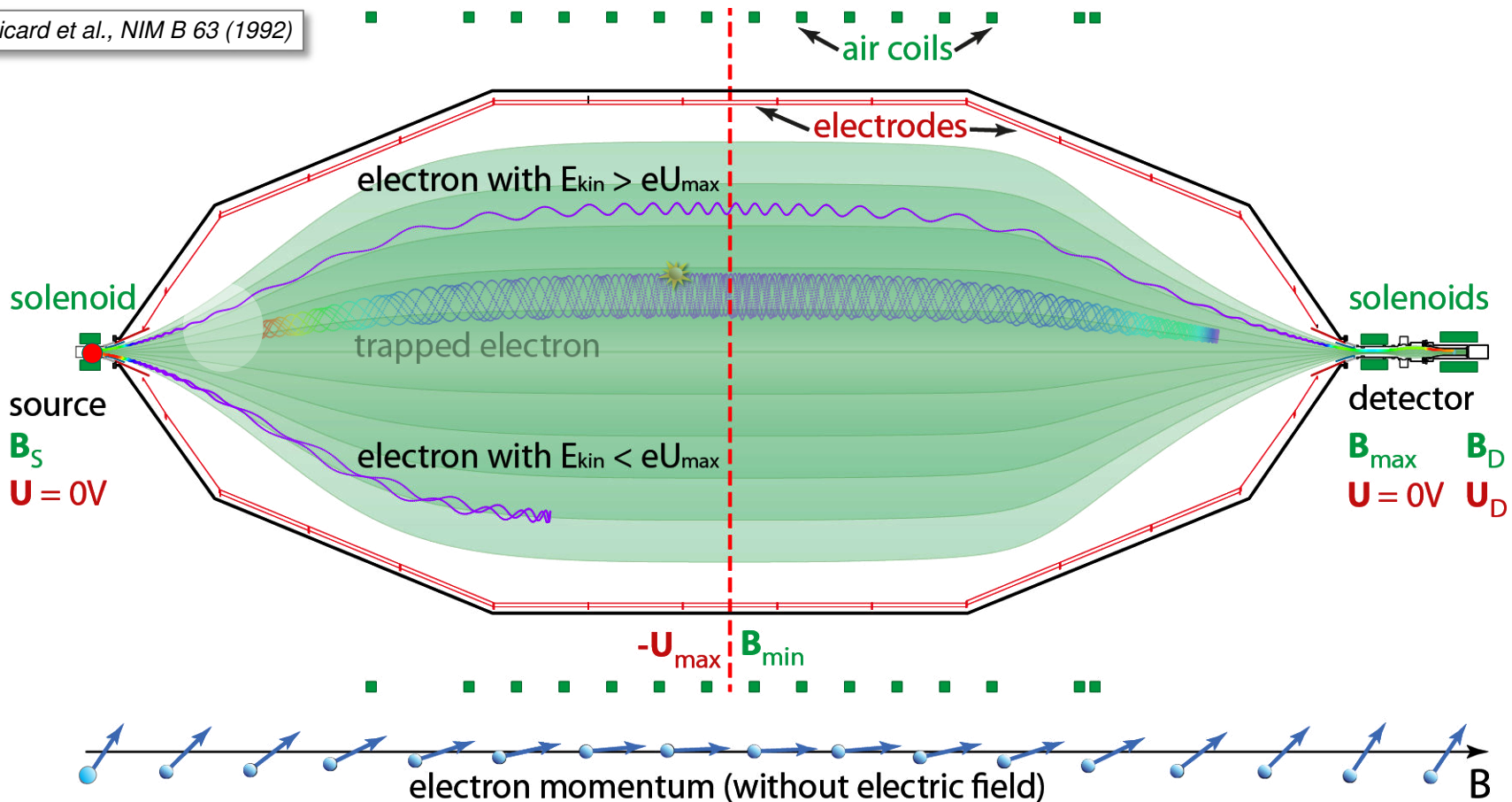


remaining electrons counted after filtering

The MAC-E Filter

Magnetic **A**diabatic **C**ollimation
with **E**lectrostatic **F**ilter

A. Picard et al., NIM B 63 (1992)



■ collimation:

$$\mu = E_{\perp} / B = \text{const}, \rightarrow E_{\perp} \rightarrow E_{\parallel} \text{ for } B = 6 \text{ T} \rightarrow 3 \text{ mT}$$

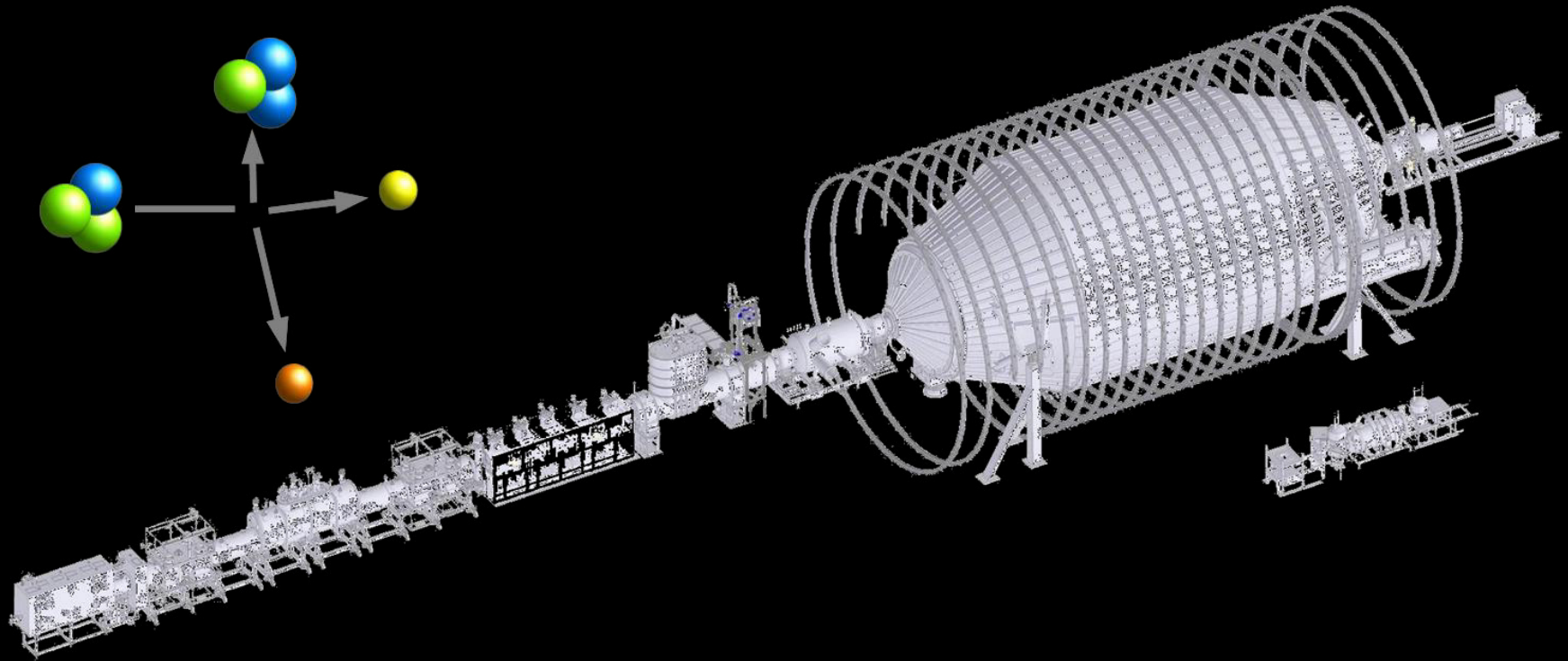
■ energy analysis:

transmission condition: $E_{\parallel} > eU_{\text{max}}$ (retarding potential)

■ energy resolution:

$$\Delta E = E \cdot B_{\text{min}} / B_{\text{max}} = 18.6 \text{ keV} \cdot 0.3 \text{ mT} / 6 \text{ T} = 0.93 \text{ eV}$$

The KATRIN experiment



The Karlsruhe Tritium Neutrino Experiment

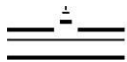


Sensitivity: 2 eV → 0.2 eV

- ▶ Improvement x100 in statistics and systematics
- ▶ Background comparable to predecessors
- ▶ 70 m total beam line



**about 150 members
from 19 institutions**



WESTFÄLISCHE
WILHELMS-UNIVERSITÄT
MÜNSTER



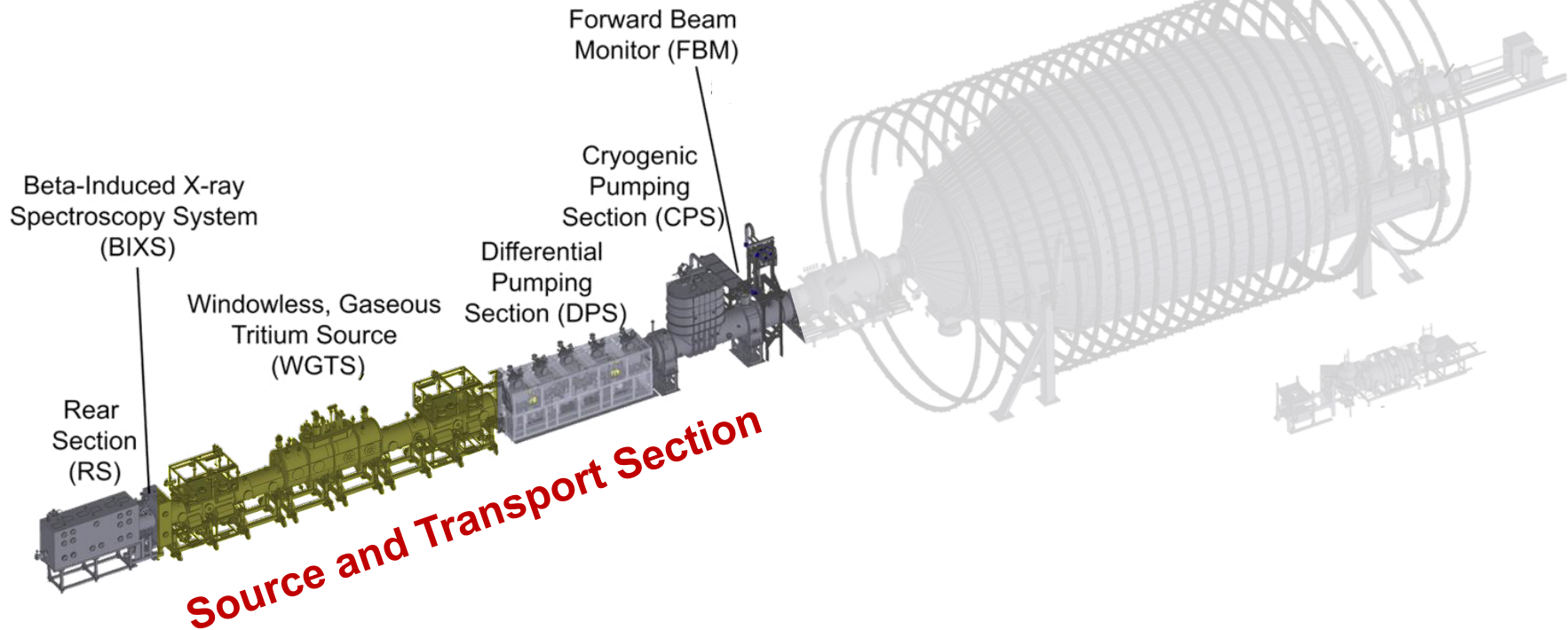
THE UNIVERSITY
OF NORTH CAROLINA
AT CHAPEL HILL



The Karlsruhe Tritium Neutrino Experiment



T₂-injection: 1.8 mbar ℓ/s (STP)
($1.7 \cdot 10^{11}$ Bq/s = 40 g/d)



➔ **processing-system: "tritium loops"**

The Karlsruhe Tritium Neutrino Experiment



$\approx 10^{-7}$ mbar ℓ/s



Beta-Induced X-ray Spectroscopy System (BIXS)

Windowless, Gaseous Tritium Source (WGTS)

Rear Section (RS)

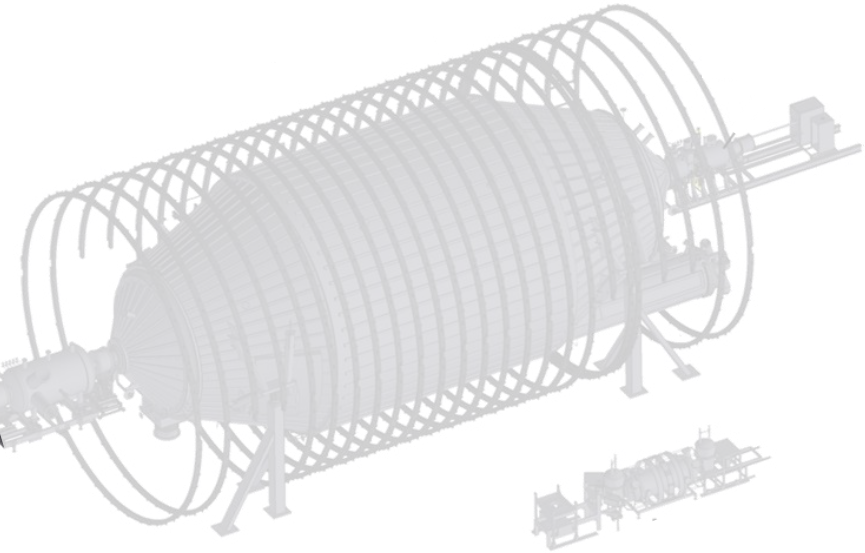
Forward Beam Monitor (FBM)

Cryogenic Pumping Section (CPS)

Differential Pumping Section (DPS)

Source and Transport Section

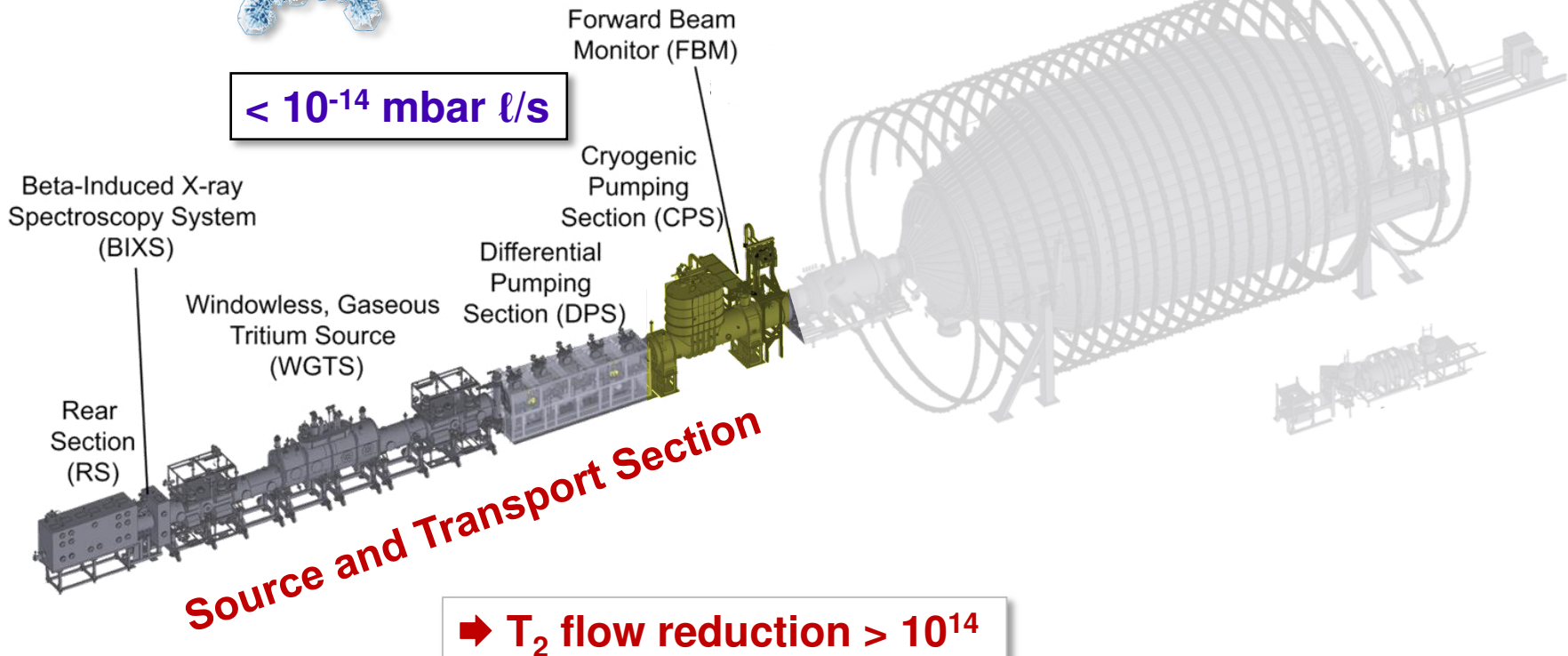
→ T_2 flow reduction $> 10^{14}$



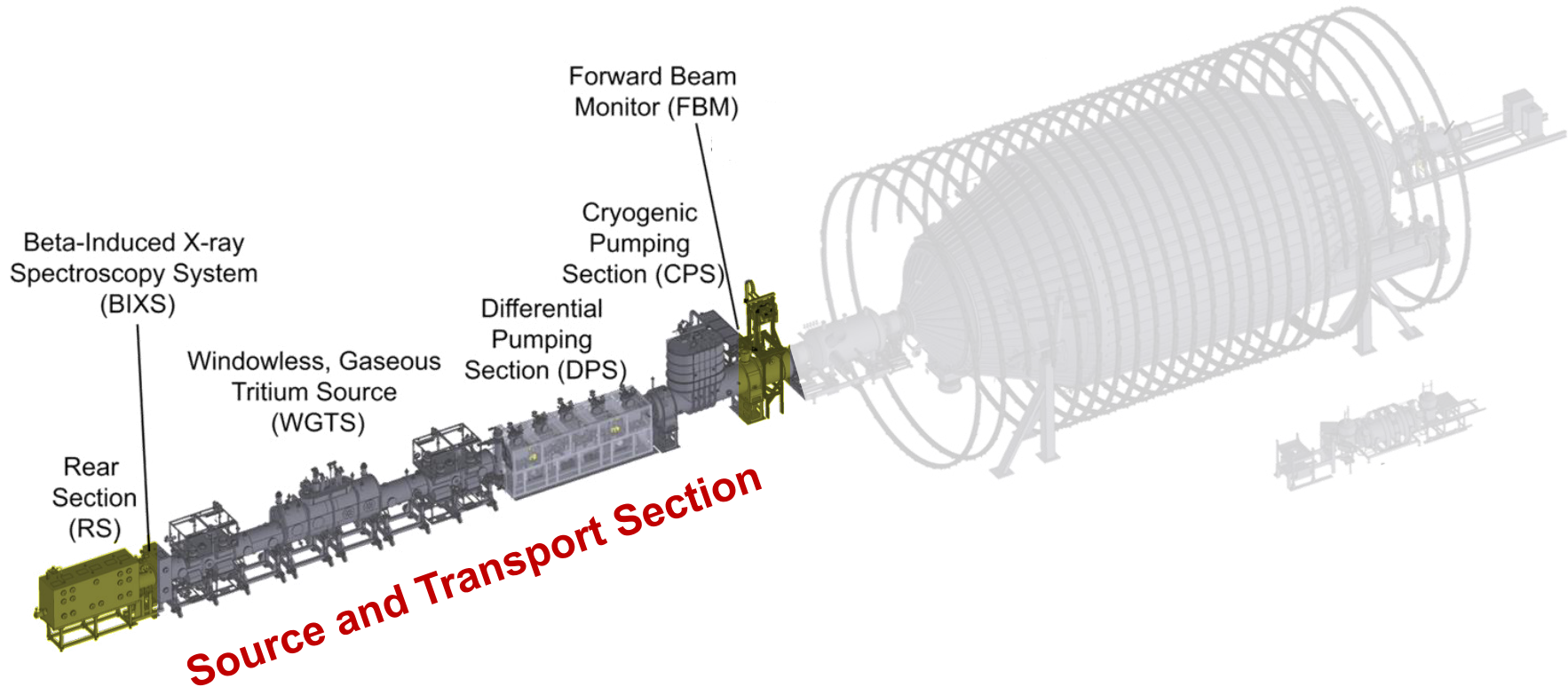
The Karlsruhe Tritium Neutrino Experiment



$< 10^{-14}$ mbar ℓ/s

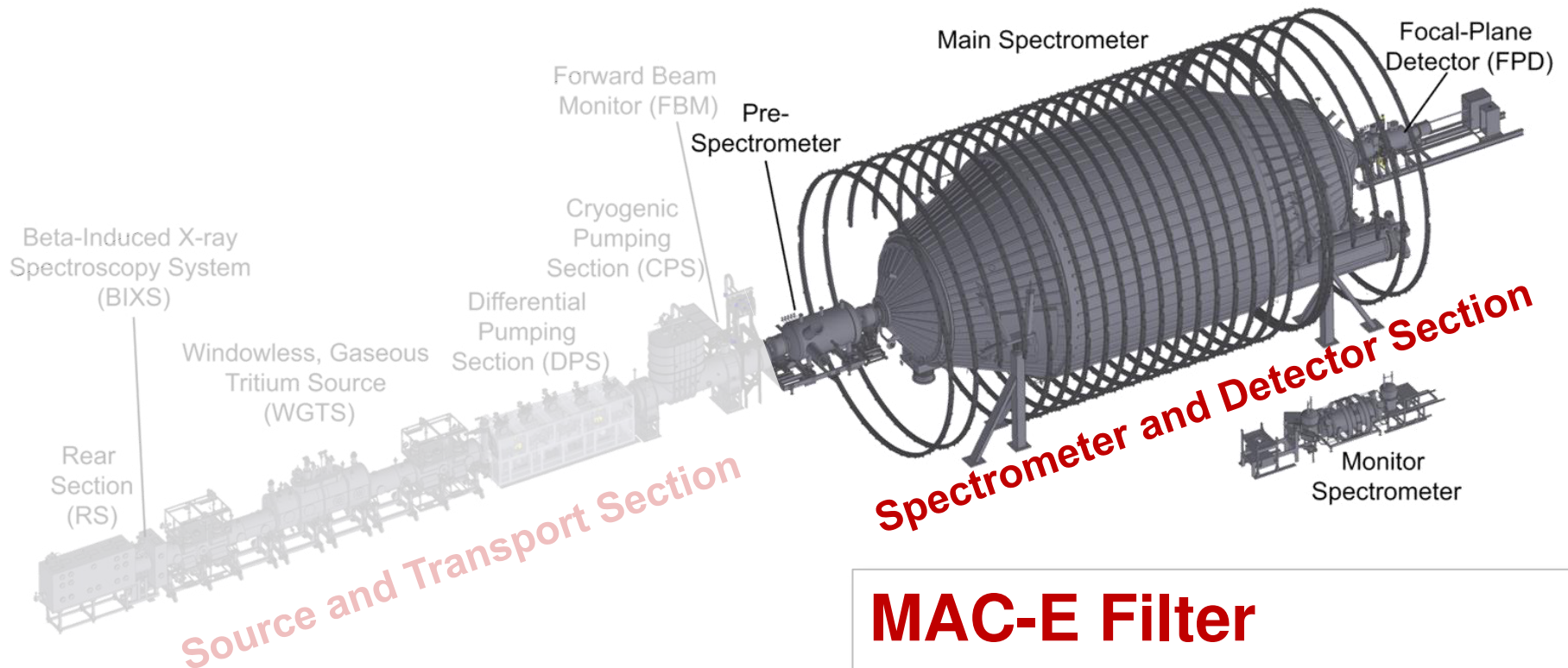


The Karlsruhe Tritium Neutrino Experiment



➔ Calibration and monitoring system

The Karlsruhe Tritium Neutrino Experiment



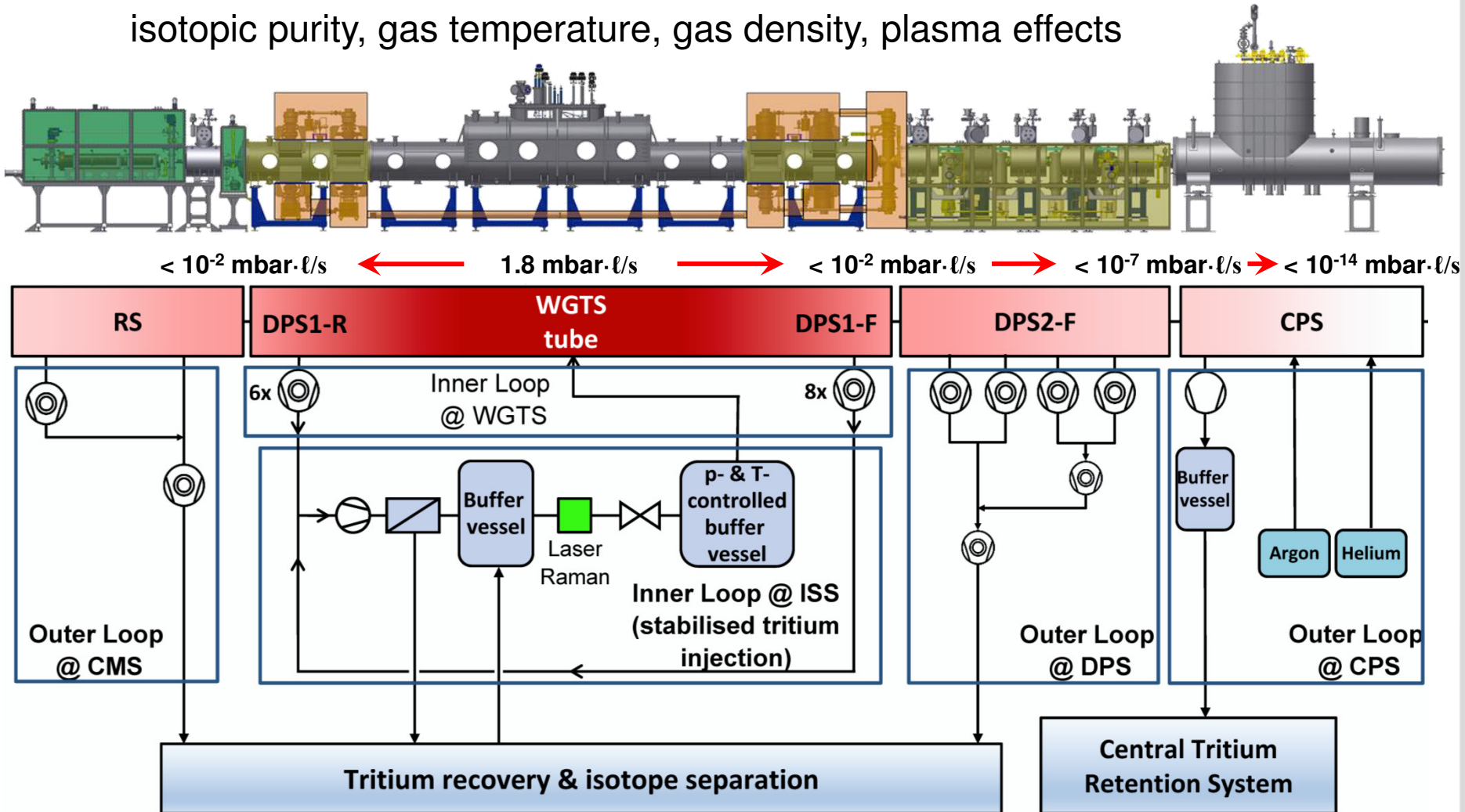
MAC-E Filter

- energy resolution 1 eV at 18600 eV
- low background (design: 10 mcps)

KATRIN Source and Transport Section

■ Source - stringent control of systematic effects:

isotopic purity, gas temperature, gas density, plasma effects

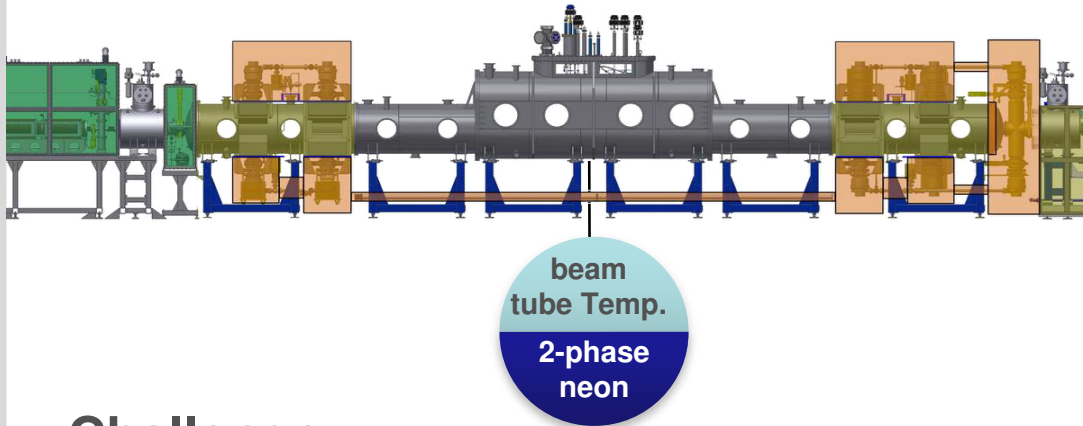


Windowless Gaseous Tritium Source WGTS

Karlsruhe Institute of Technology

Beam tube temperature

Sept. 2015



Challenge

- temperature stability on 10^{-3} level

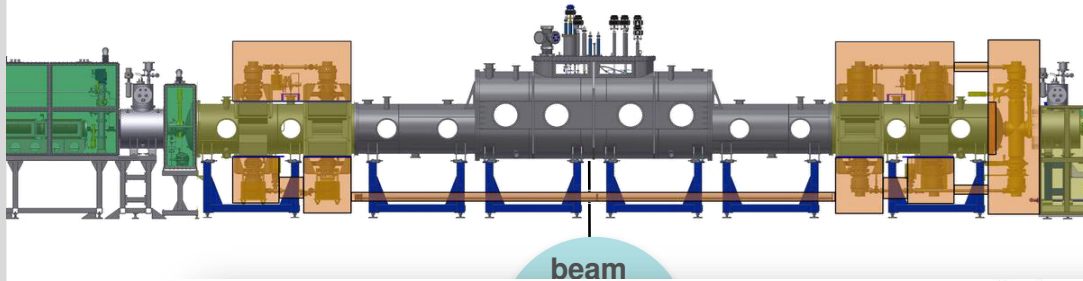


Windowless Gaseous Tritium Source WGTS

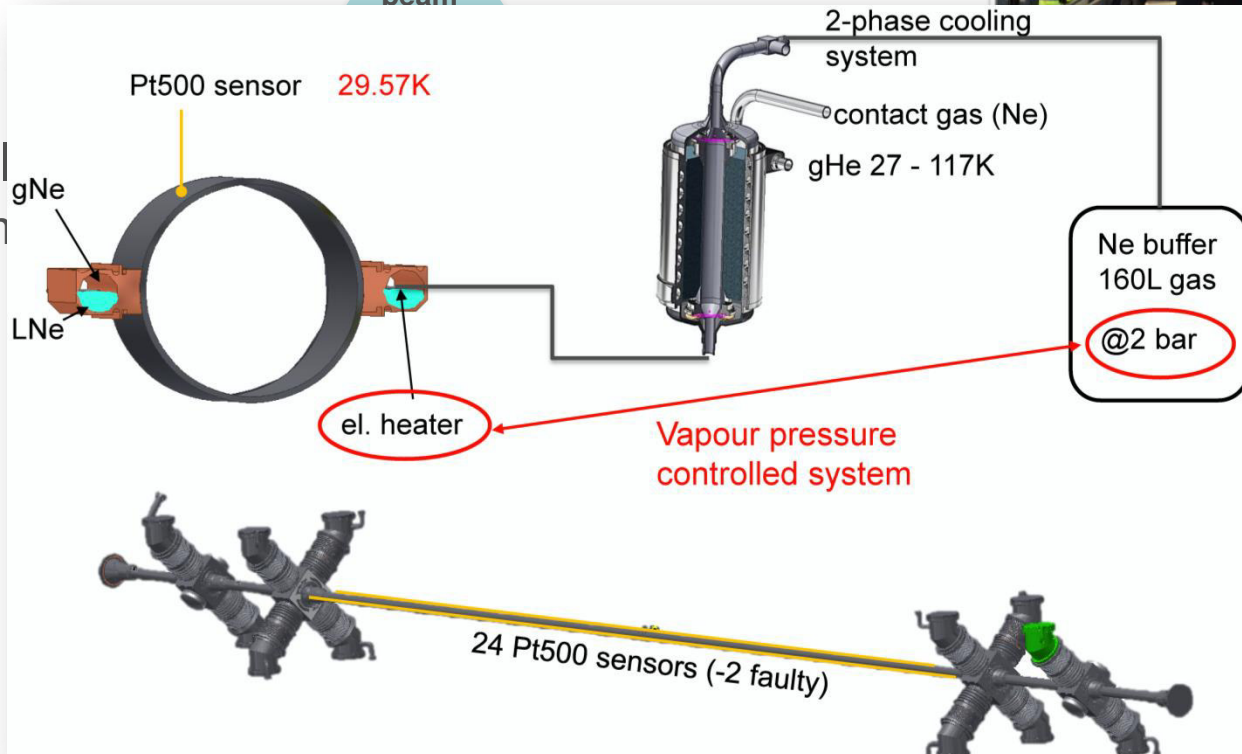
Karlsruhe Institute of Technology

Sept. 2015

Beam tube temperature



Chal
- tem

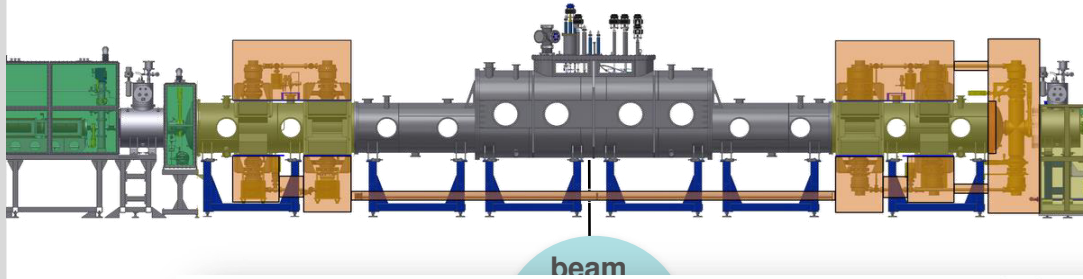


Windowless Gaseous Tritium Source WGTS

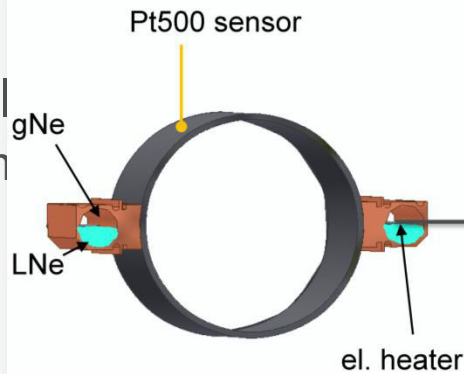
Karlsruhe Institute of Technology

Sept. 2015

Beam tube temperature



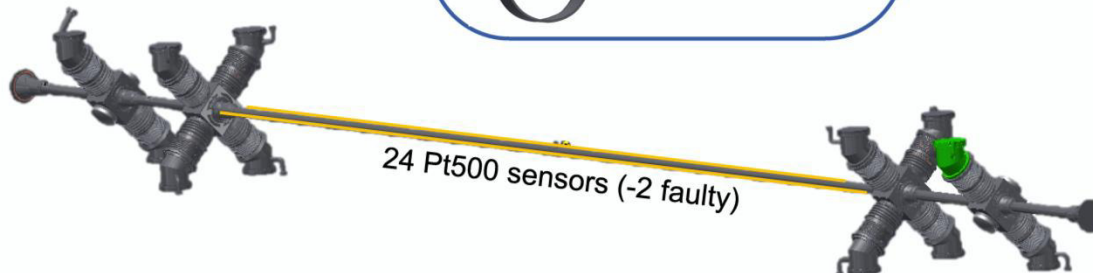
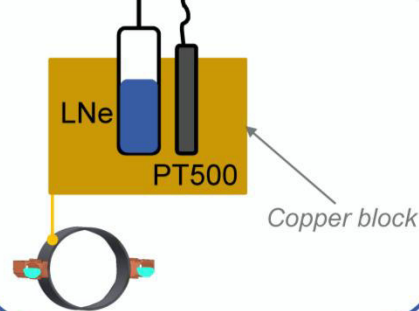
Chal
- tem



2-phase cooling

Calibration

Vapour pressure
Neon $\rightarrow T_{abs}$ Resistance $R \leftrightarrow T_{abs}$

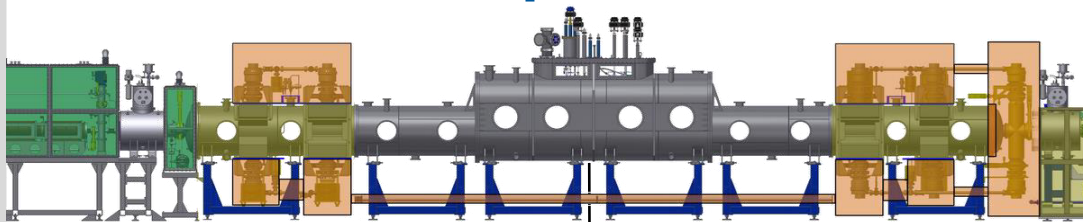


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Karlsruhe Institute of Technology

Beam tube temperature

Sept. 2015



beam
tube Temp.
2-phase
neon



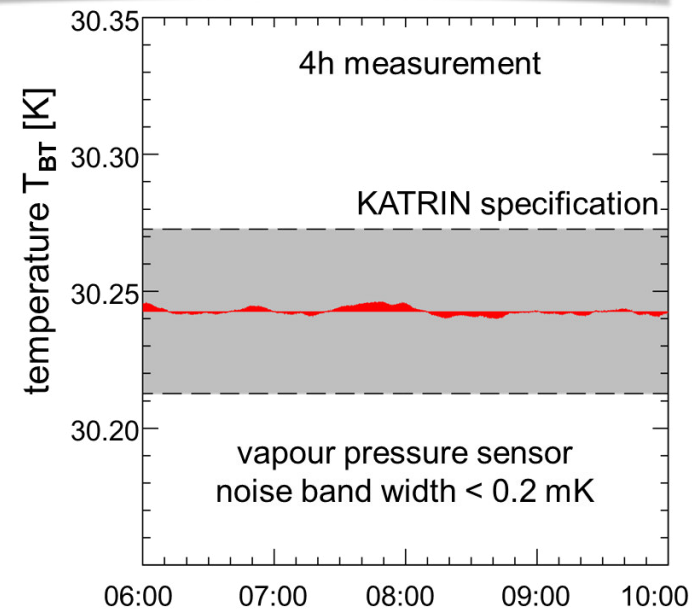
Challenge

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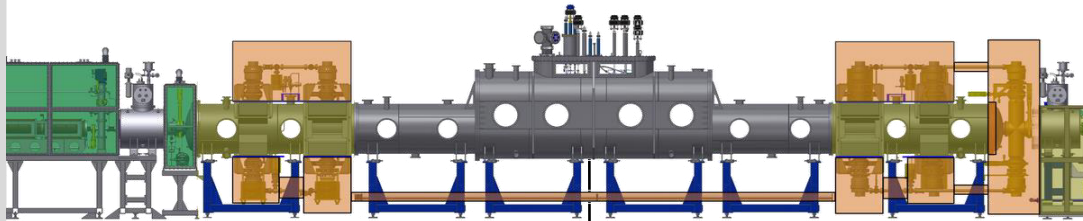
Technological development

- novel **2-phase neon** cooling system
- required: $\Delta T = \pm 30 \text{ mK}$ (1 h)
- **achieved:** $\Delta T = \pm 1.5 \text{ mK}$ (1 h)

→ **stability surpassing specifications**



Raman spectroscopy



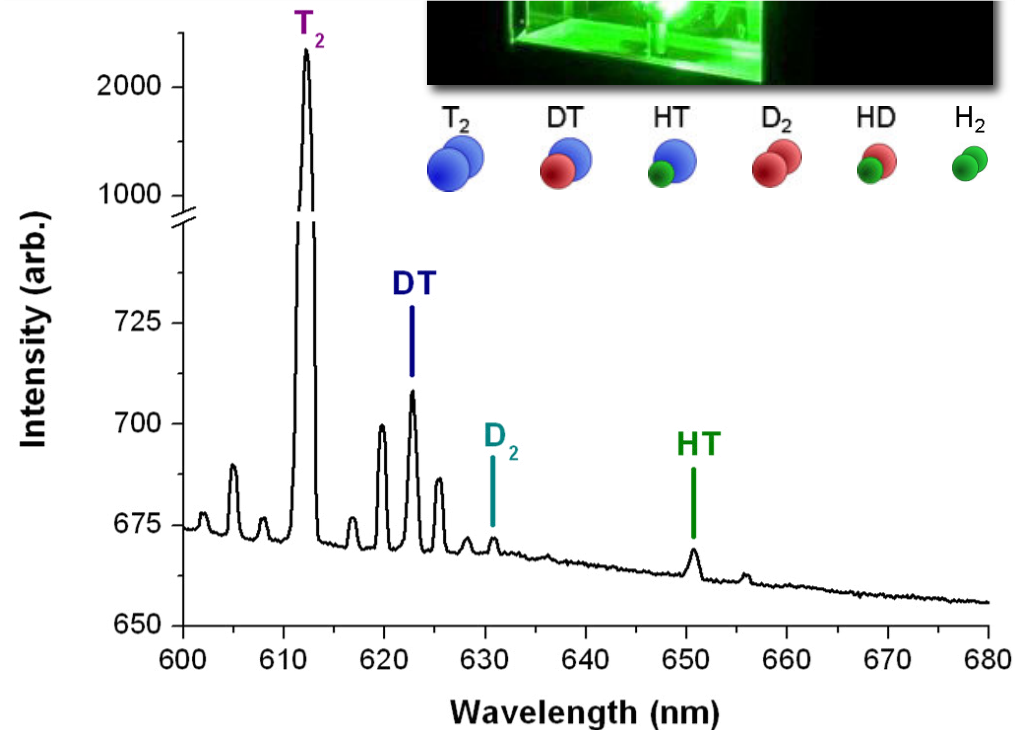
isotopic content
Raman spectr.

Challenge

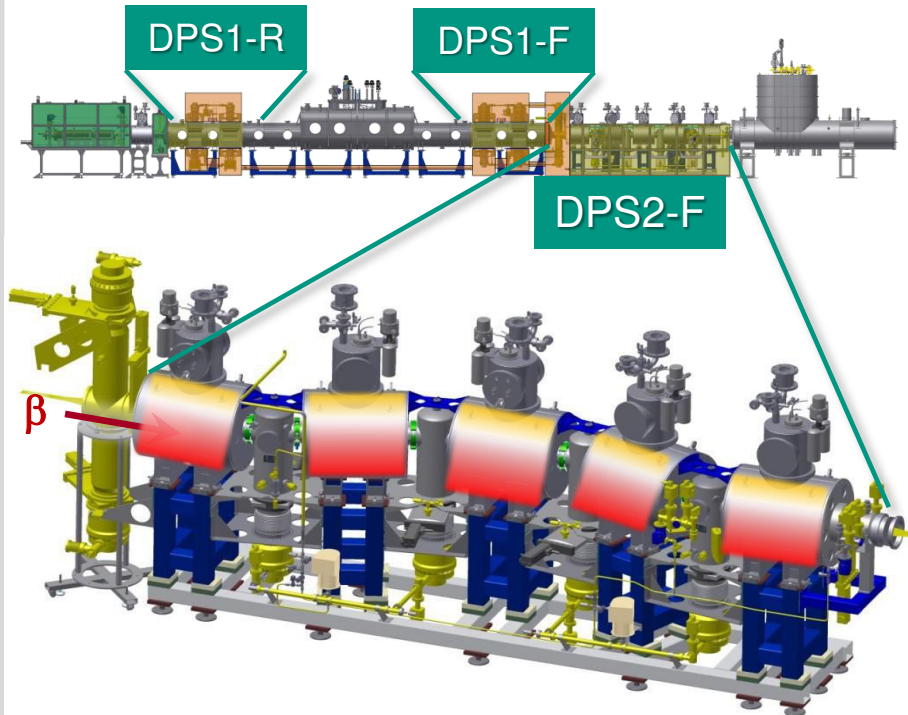
- measure isotopic source content with 10^{-3} accuracy in **100 s**

Technological development

- calibrated **Laser-Raman system** for all 6 hydrogen isotopologues
- achieved: $< 10^{-3}$ accuracy in **60 s**



Transport and Pumping Section: DPS



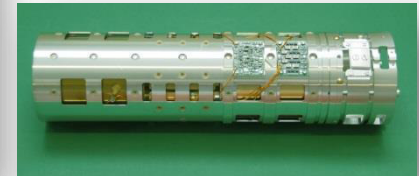
Differential Pumping Section (DPS)

- magnetic field: 5.6 T
- active pumping: 18 TMPs
- tritium retention: 10^7
- tritium ion removal and monitoring
- built at KIT, commissioning 2016/17



Tritium ion removal

- 4 dipole electrodes: drift to wall
- Split-ring electrodes: ion rejection

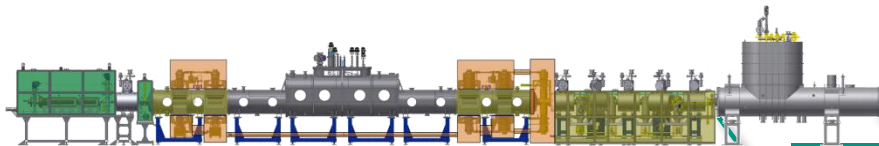


Tritium ion monitoring

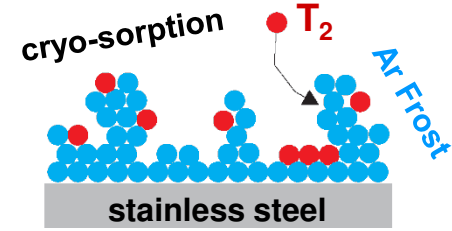
- FT-ICR: ion trap

(Fourier Transform – Ion Cyclotron Resonance mass spectrometer)

Transport and Pumping Section: CPS

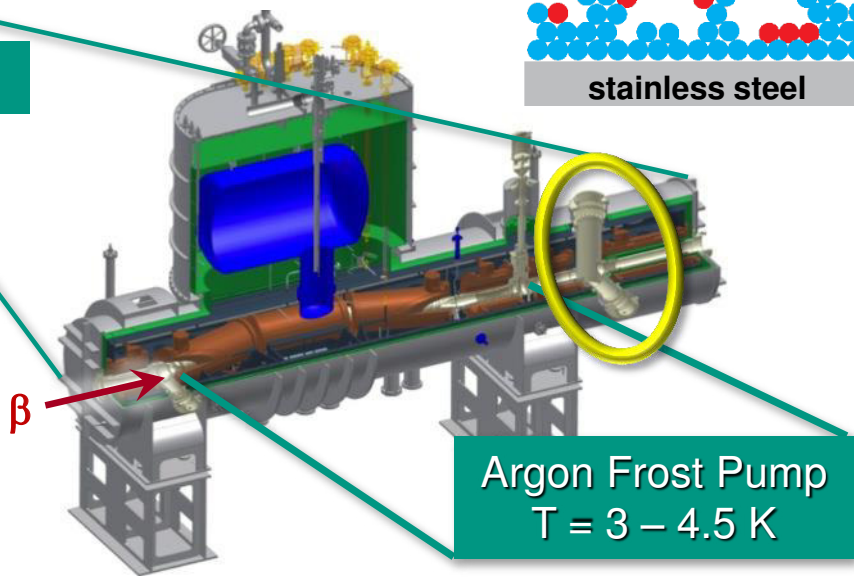


CPS



Cryogenic Pumping Section (CPS)

- magnetic field: 5.6 T
- based on cryo-sorption Ar at 3K
- tritium retention: $>10^7$
- beam diagnostics, spectrometer properties
- successful commissioning: 2016/2017



Calibration and monitoring

- Forward beam monitor (retractable photo diode)
- Condensed ^{83m}Kr source (conv. electron peaks)

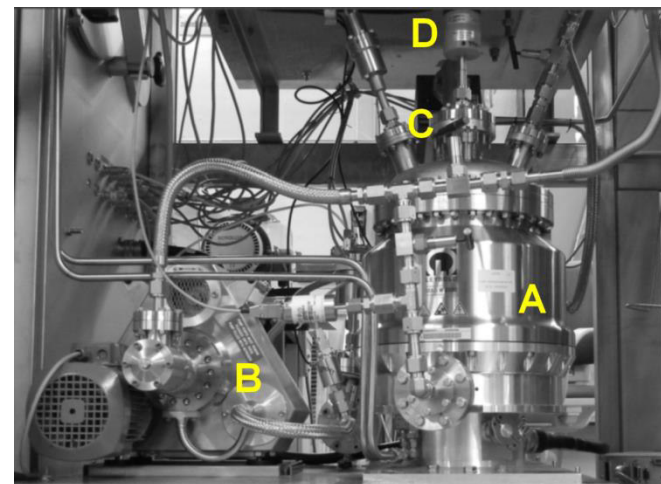
Risks for turbo-molecular pumps?

Endurance test for TMP with tritium

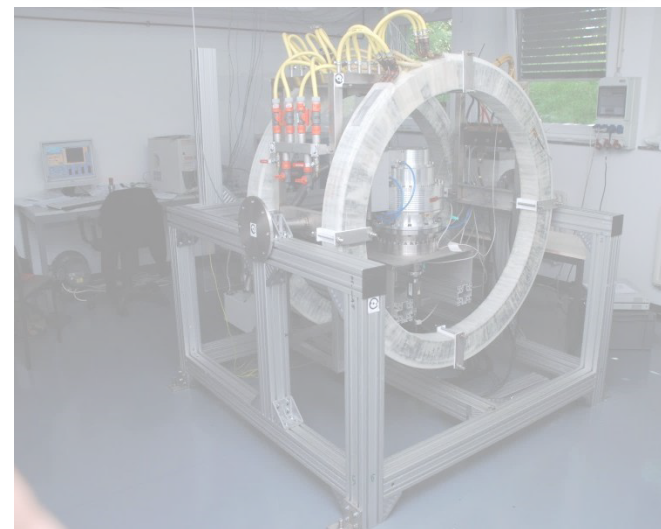
- tritium can affect non-metal parts of pump
- TMP type: Leybold MAG-W 2800
- tested at Tritium Laboratory Karlsruhe (TLK)
- **398 days** operation with tritium
- throughput: **1106 g tritium**

TMP in a magnetic field

- eddy currents can over-heat rotor
- high mag. field can slow down rotor
- failure of magnetic bearing
- test setup built at KIT for large TMPs
- math. model developed for prediction

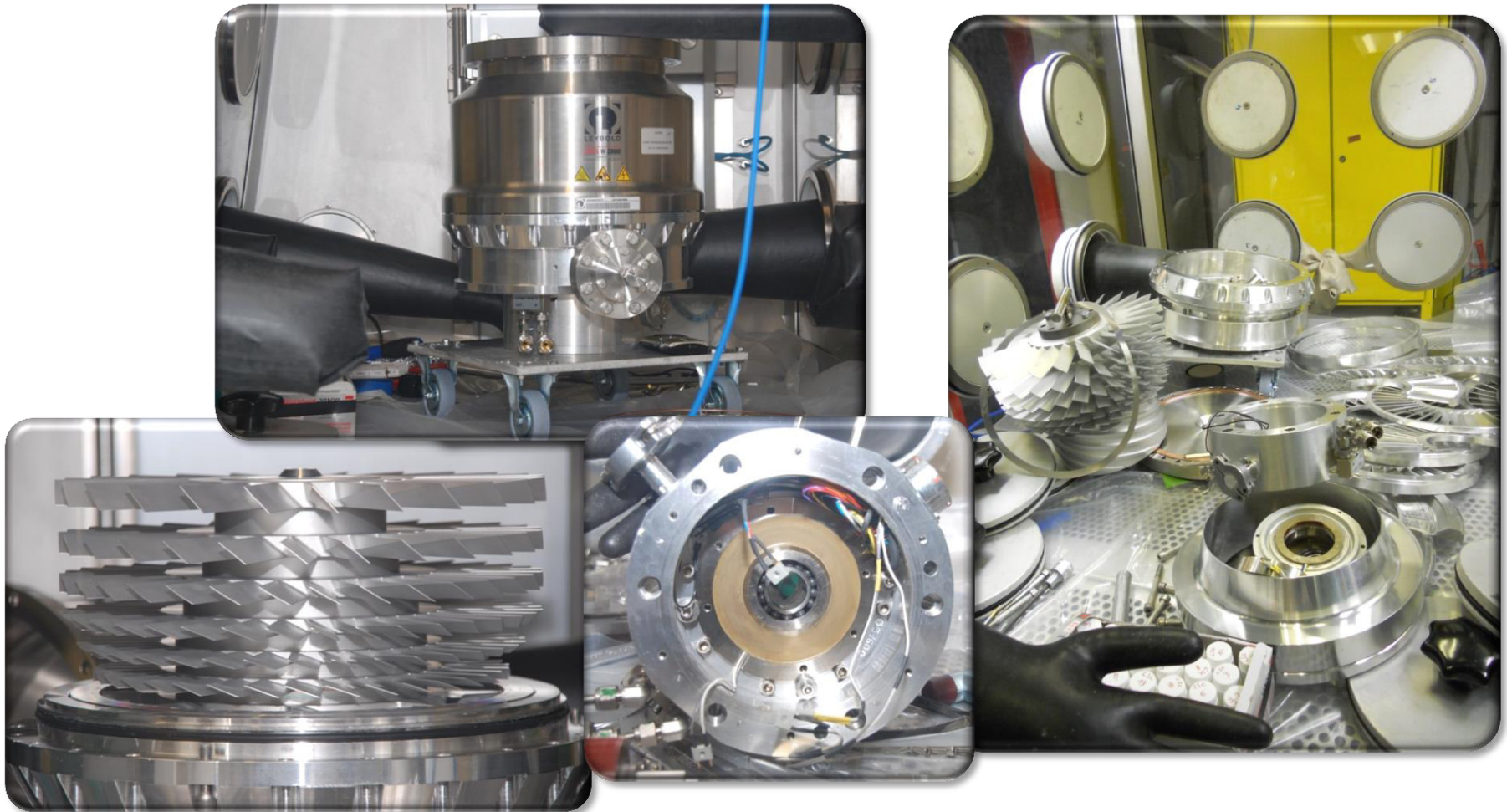


F. Priester, PhD thesis at KIT (2013)



R. Gröbke et al., Vacuum 86 (2012) 985-989

Complete dismantling of a MAG W 2800



- parts were highly contaminated with tritium, but ...
- ... parts looked like new, no indication of wear, cables and O-rings ok

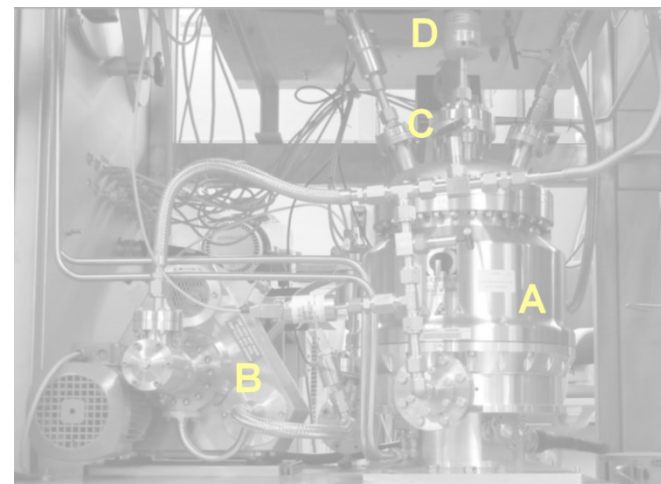
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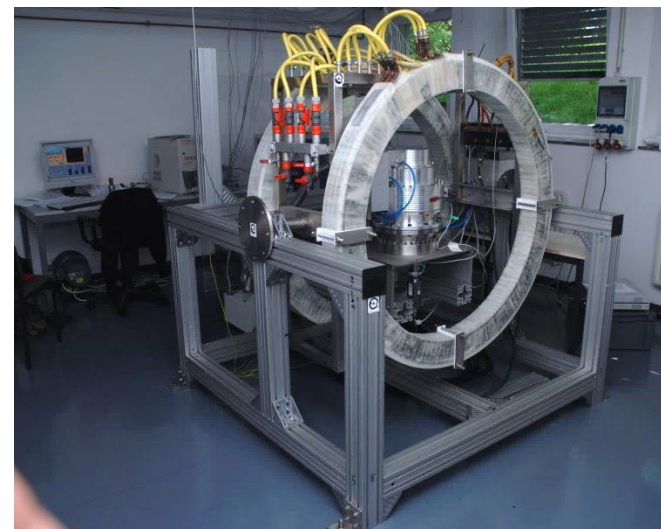
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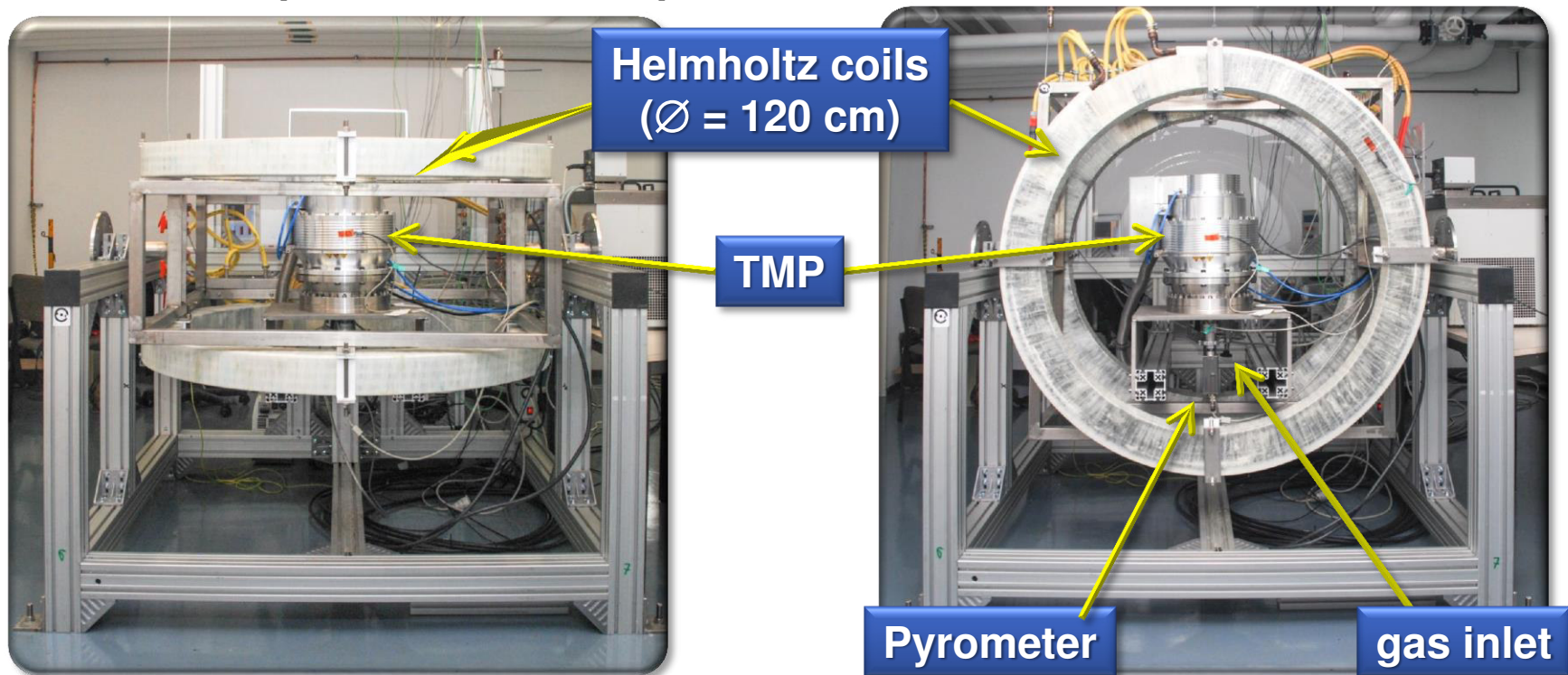
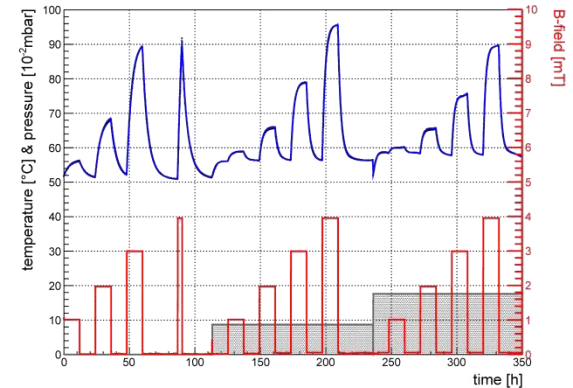
F. Priester, PhD thesis at KIT (2013)



R. Gröbke et al., Vacuum 86 (2012) 985-989

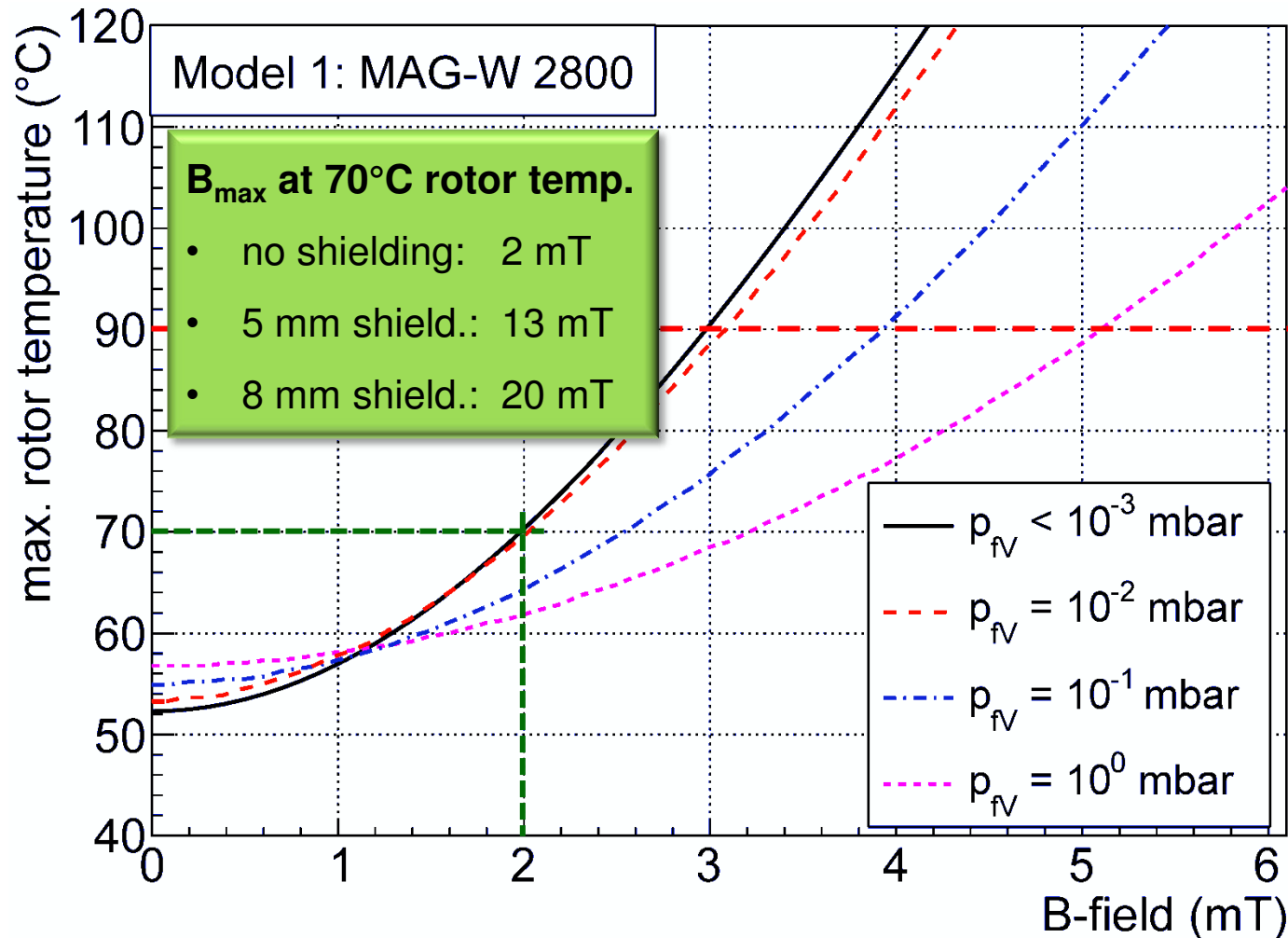
TMP in a magnetic field

- Helmholtz coils: radius = 60 cm
- B-field: 0 – 50 mT
- coils can be turned by 90°
- pyrometer used for rotor temperature
- gas flow possible
- measures parameters for empirical model



TMP in a magnetic field

- WGTS: TMPs operated in 18.5 mT
- 8 mm St37 steel shielding: < 70°C

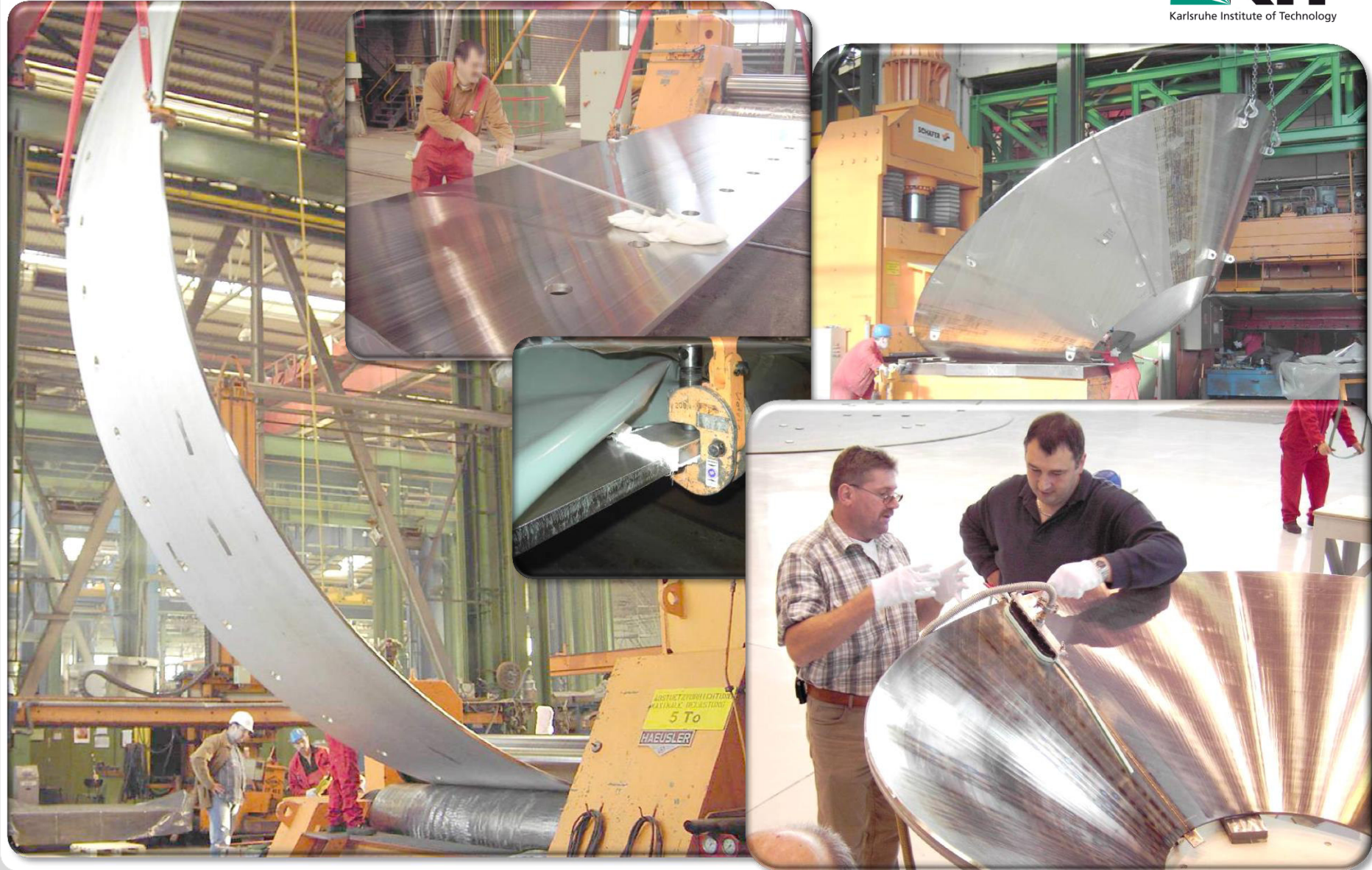


The KATRIN

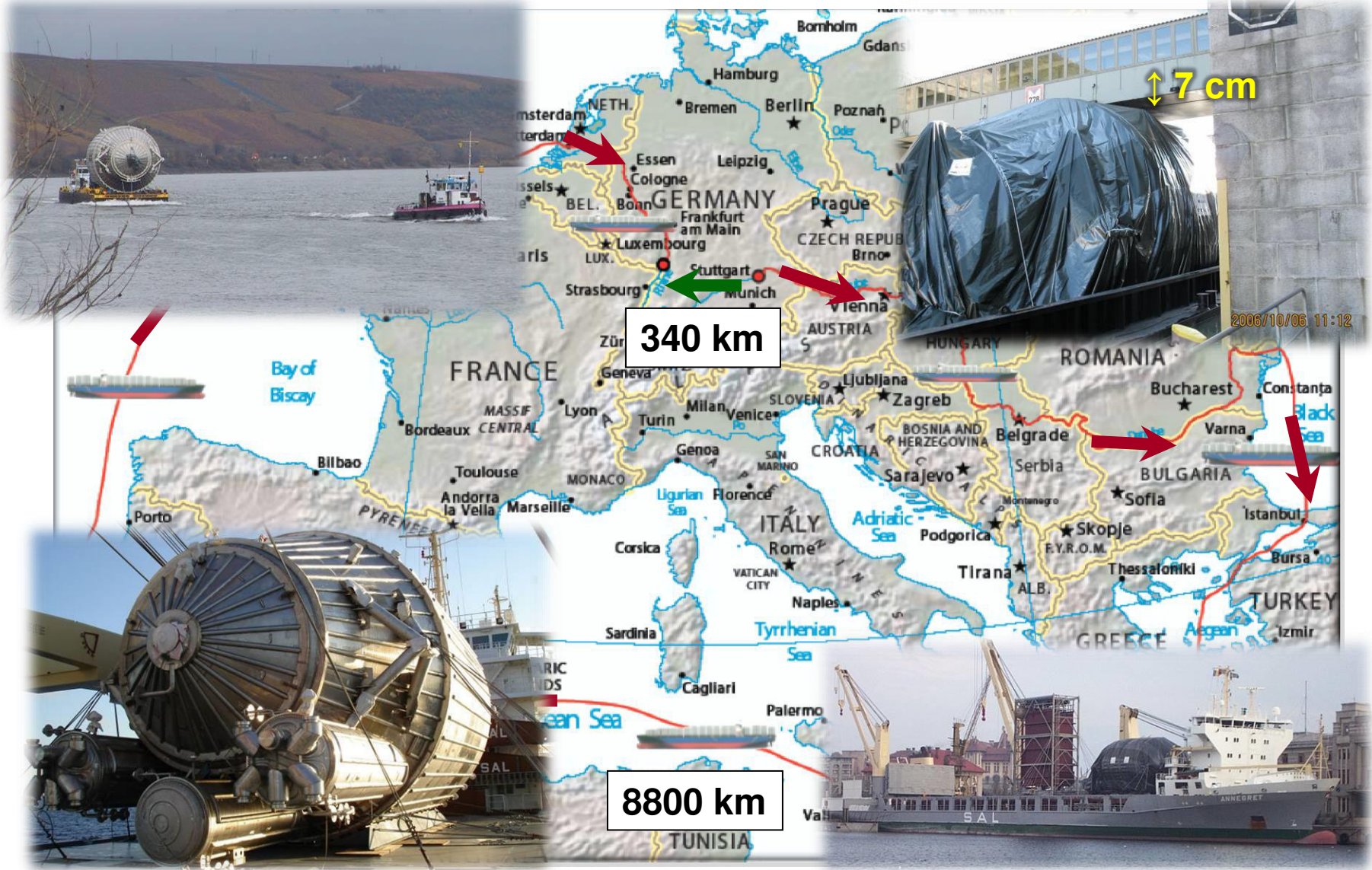
Main Spectrometer



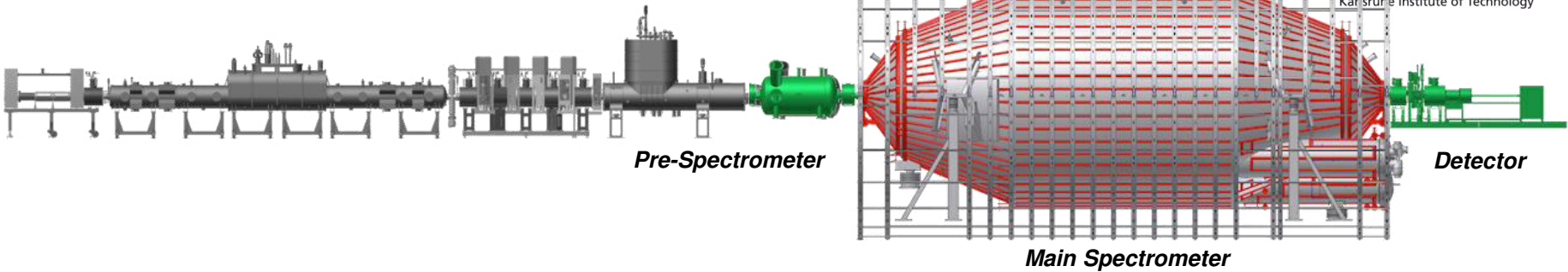
Manufacturing of the Main Spectrometer



KATRIN Main Spectrometer Journey to KIT



KATRIN Main Spectrometer



- **MAC-E Filter principle** → precise electron energy measurement

- Vacuum vessel & electrodes on **variable retarding potential (18.6 kV)**
- Magnetic guiding field: **0.3 mT – 6 T**
- High resolution: **$\Delta E = 0.93 \text{ eV @ } 18.6 \text{ keV}$**

- **Stainless steel (~200 to, 316LN)**

- **Dimensions:**

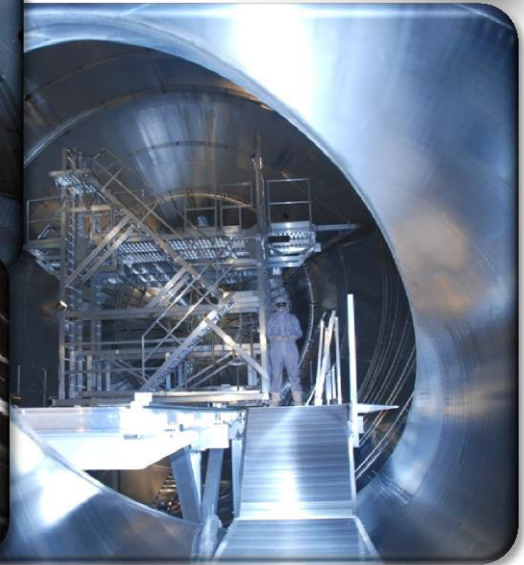
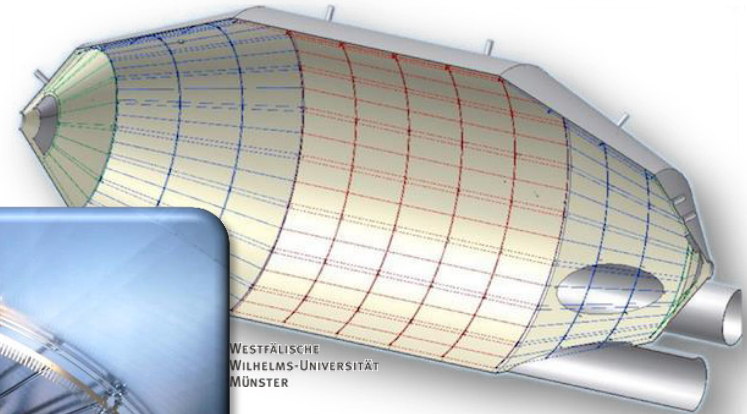
- diameter: 10 m
- Length: 23 m
- volume: 1240 m³
- inner surface: 1222 m² (including wire electrodes)



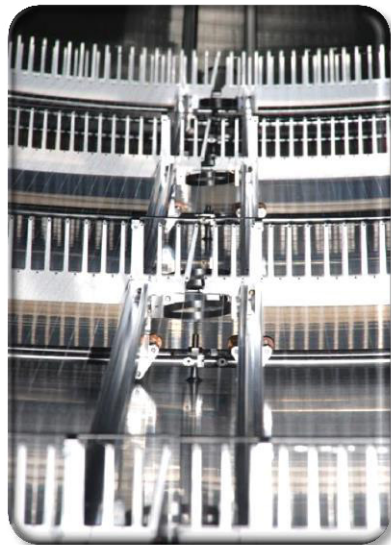
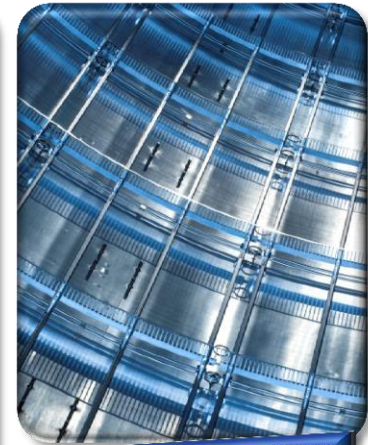
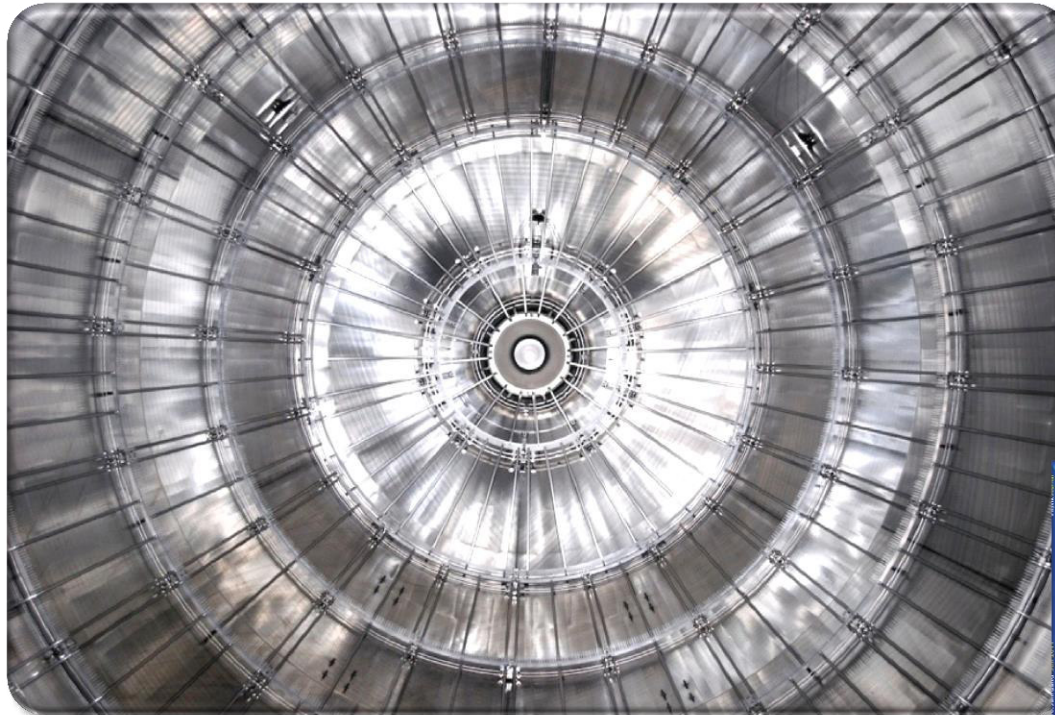
arrival at KIT: 26.11.2006

Wire Electrode Installation (2008 – 2012)

- 248 wire electrodes cover the inner surface of the Main Spectrometer
 - 23 440 insulated wires
 - ~ 120 000 individual parts
- Installed under cleanroom conditions



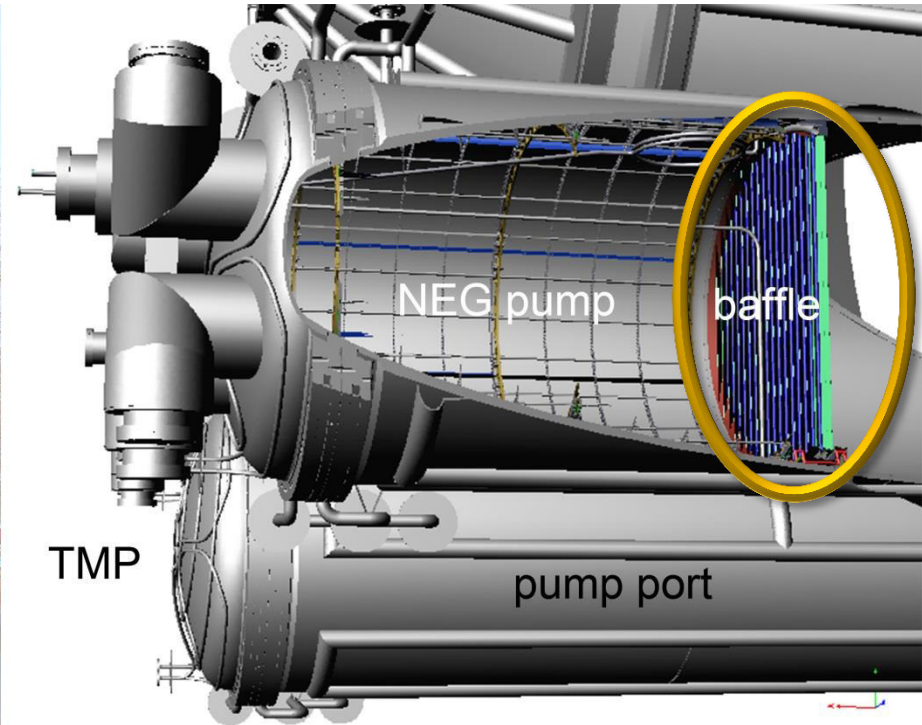
Wire Electrode Installation (2008 – 2012)



- 2012: Electrode installation completed
- 2013: bakout at 300°C
- first commissioning runs
 - 2013
 - 2015
 - 2017/18



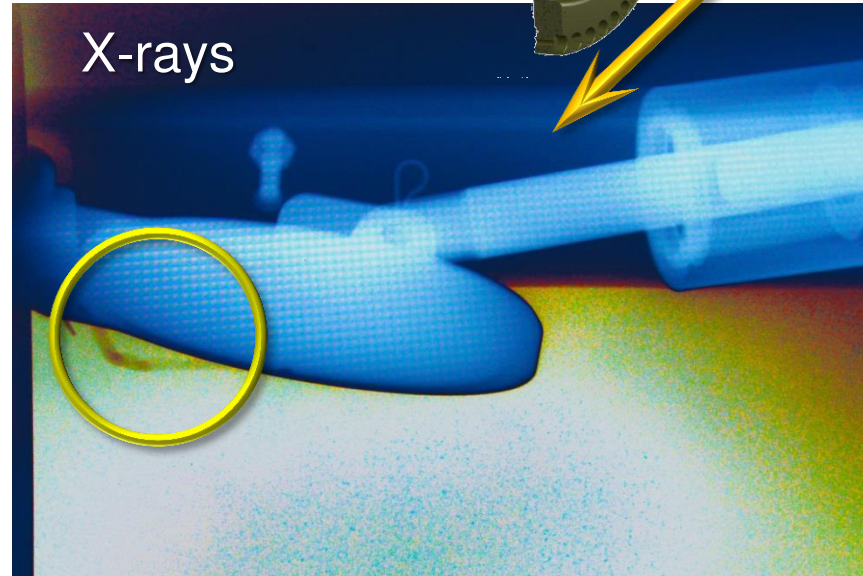
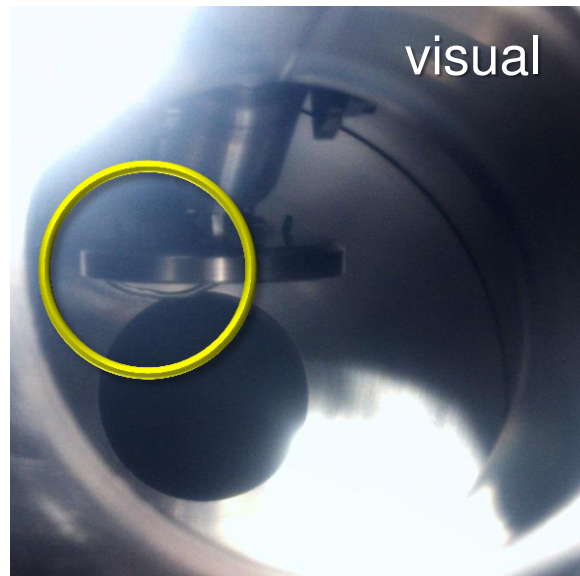
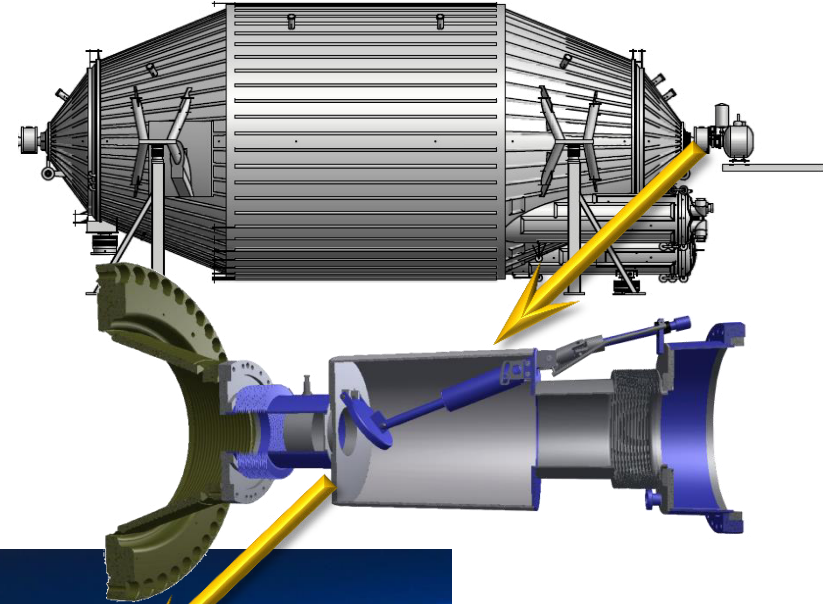
KATRIN Main Spectrometer Vacuum



- Roughing pump: 640 m³/h screw-pump
- 6 turbo-molecular pumps (Leybold MAG-W 2800): 10 000 ℓ/s (H₂)
- ~~2~~ 3 NEG-pumps (3000 m SAES St707 getter strips): ~~~10⁶ ℓ/s (H₂)~~ **250 000 ℓ/s**
- 3 cryogenic LN₂ baffles (radon): ~160 000 ℓ/s (Rn)
- ultimate pressure: 10⁻¹¹ mbar

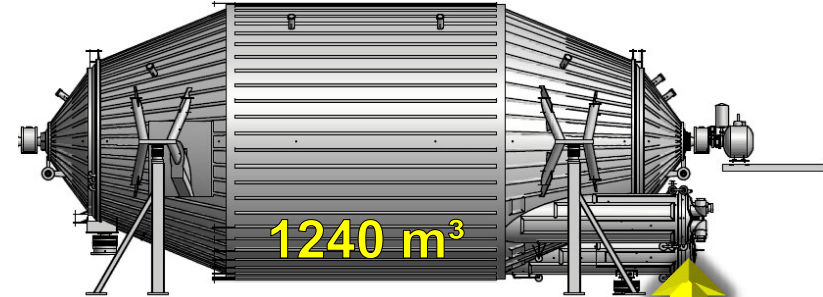
Coupling of Spectrometer and Detector

- Detector de-coupled during bake-out
- Requires valve inside magnet bore
- O-ring partly slipped out during baking
- **Challenge:** attach detector without saturation of the activated NEG-pump



Coupling of Spectrometer and Detector

- **Solution:** replacing the O-ring under inert gas atmosphere (Ar)
- Gas quality N9.0 required to prevent contamination of NEG



Ar 9.0



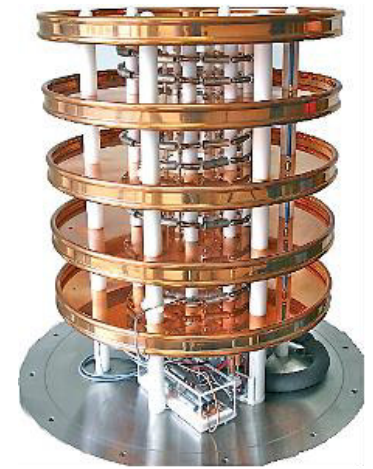
144 bottles Argon N6.0



- ☑ O-ring exchanged in Ar atmosphere
- ☑ beam-line valve now leak tight
- ☑ detector section attached

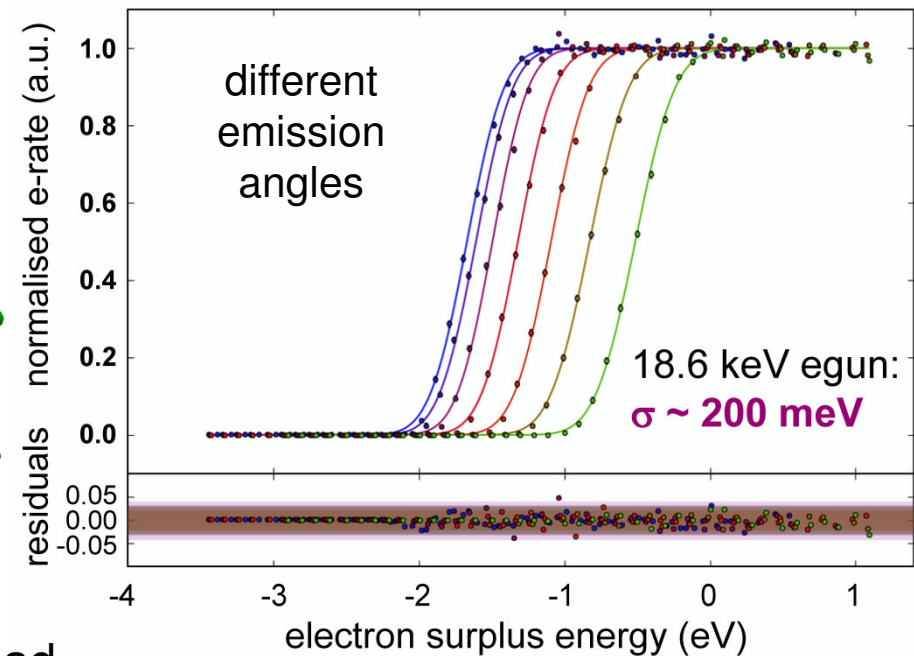
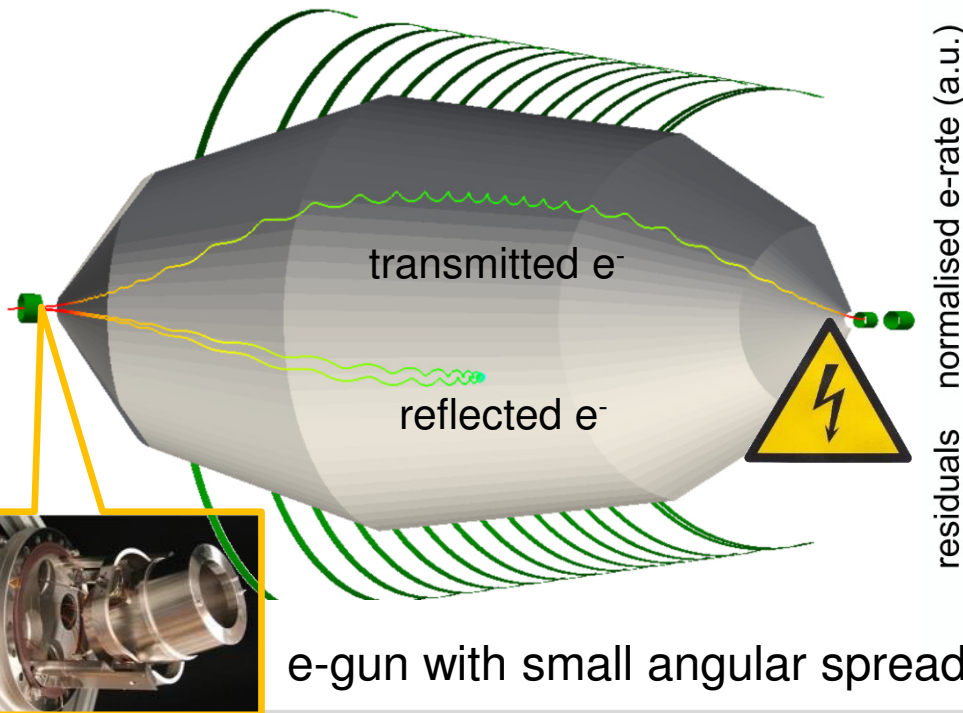
KATRIN - MAC-E filter characteristics

- main spectrometer works as high-resolution MAC-E filter:
 - sharp transmission function for 18.6 keV e^- from e-gun
 - width limited by egun emission spectrum
 - HV stability on ppm-scale



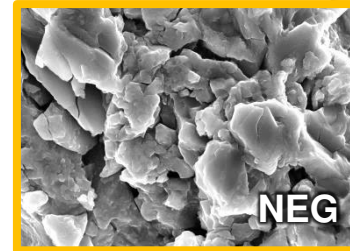
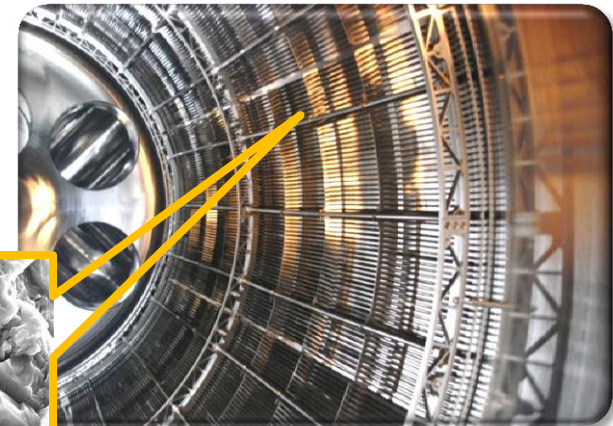
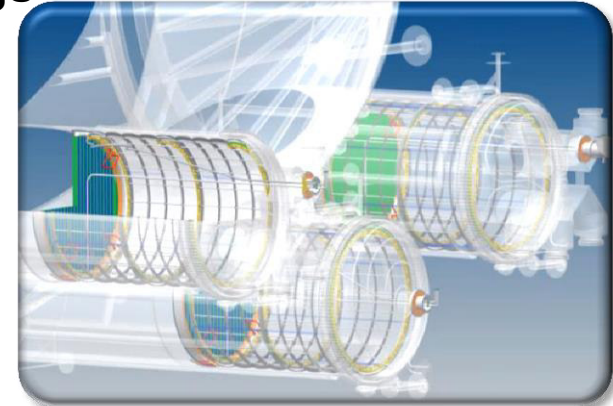
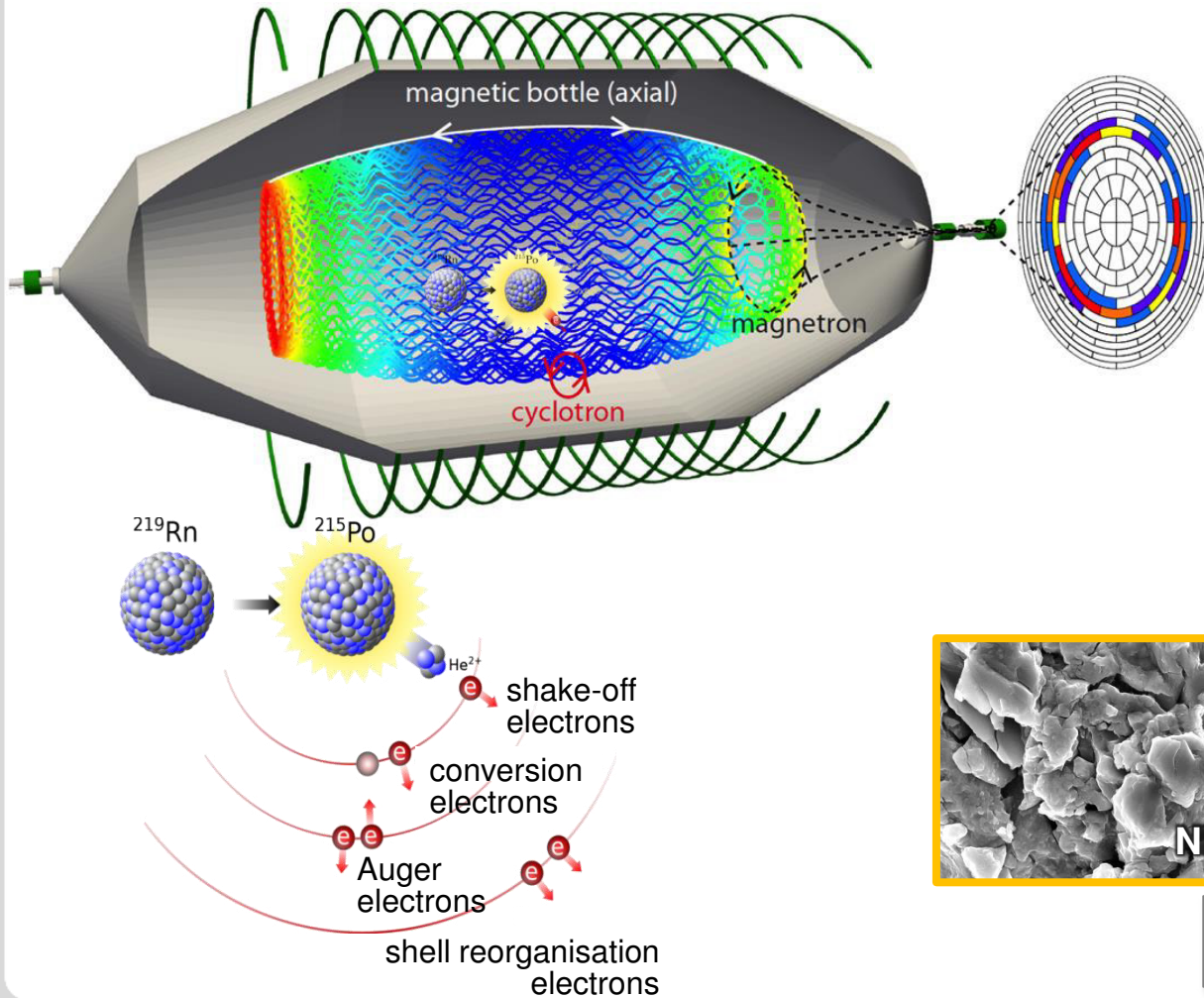
WESTFÄLISCHE
WILHELMS-UNIVERSITÄT
MÜNSTER

In cooperation with
German national
metrology institute



Radon as source of background (problem)

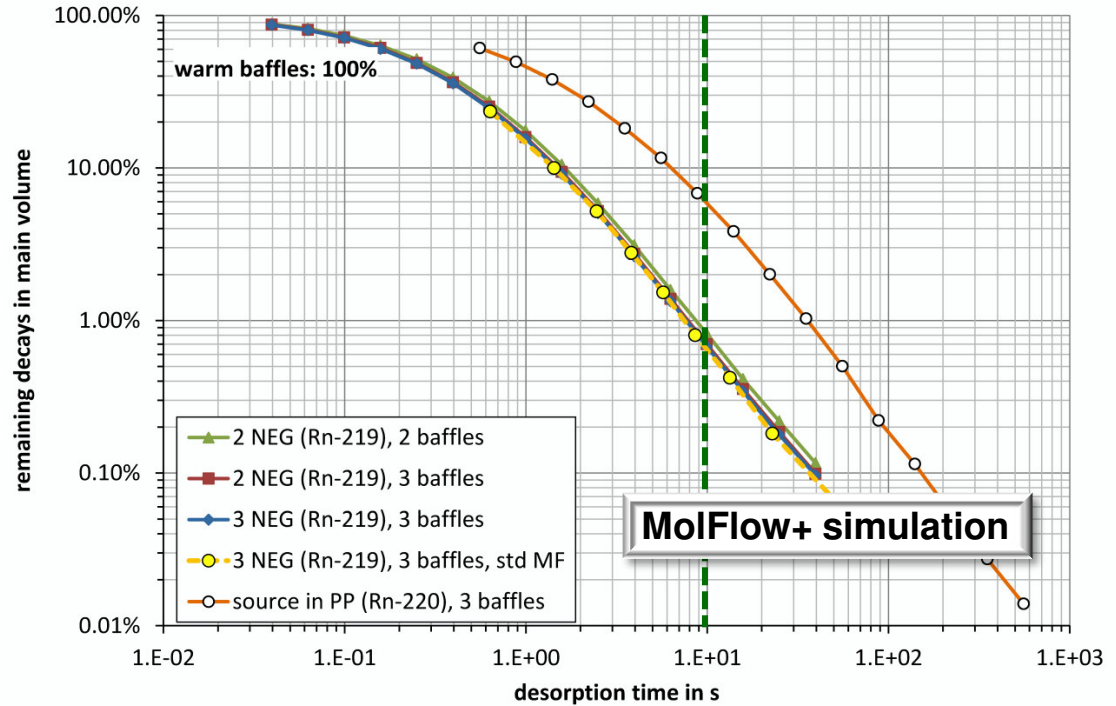
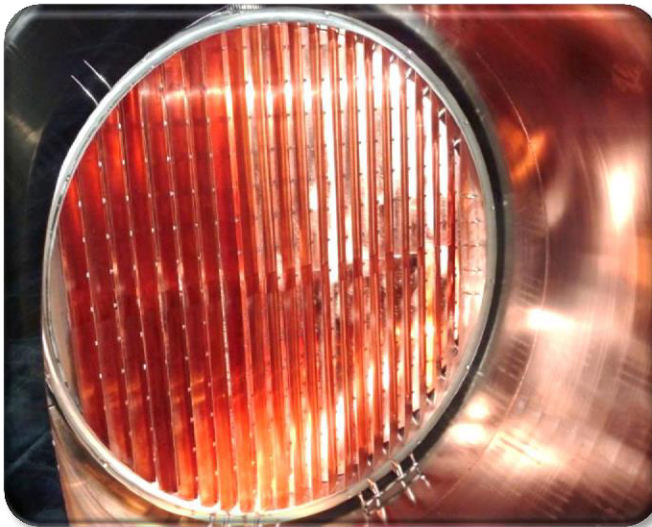
- ^{219}Rn emanation from St707 NEG getter strips (2000 m) in pump ports
- ^{220}Rn emanation from stainless steel walls/weldings



F.M. Fränkle et al., Astropart. Phys. 35 (2011) 128
S. Mertens et al., Astropart. Phys. 41 (2013) 52

Radon as source of background (solution)

- passive background reduction: **LN₂-cooled baffles** to cryo-sorb ²¹⁹Rn, ²²⁰Rn

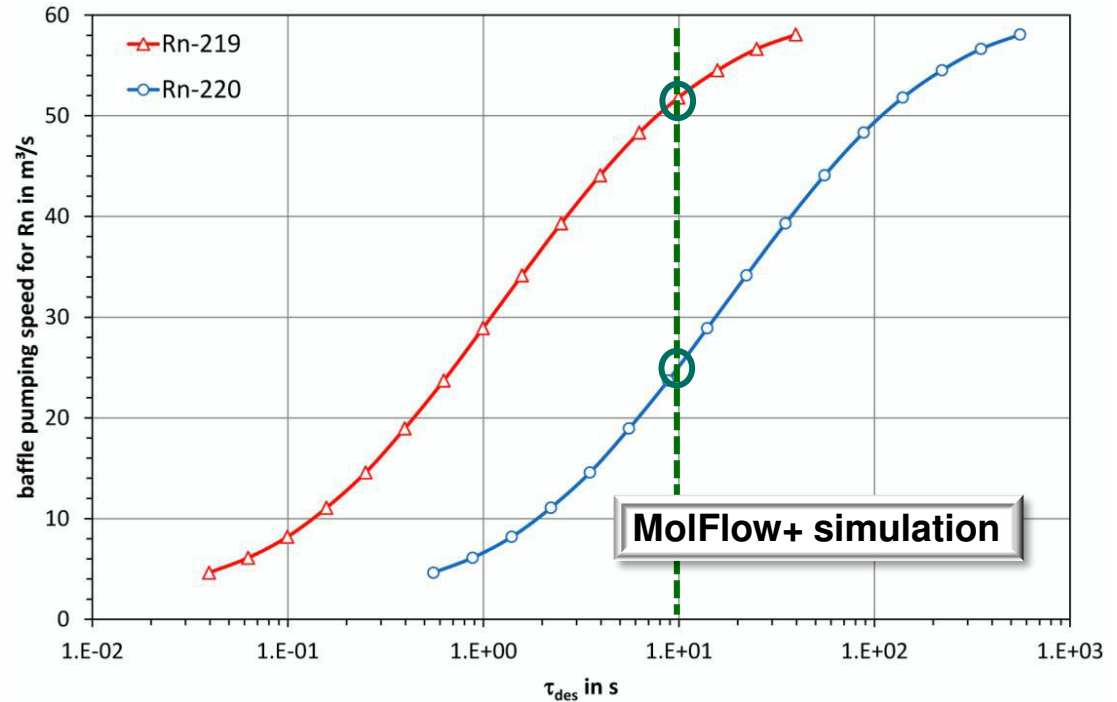
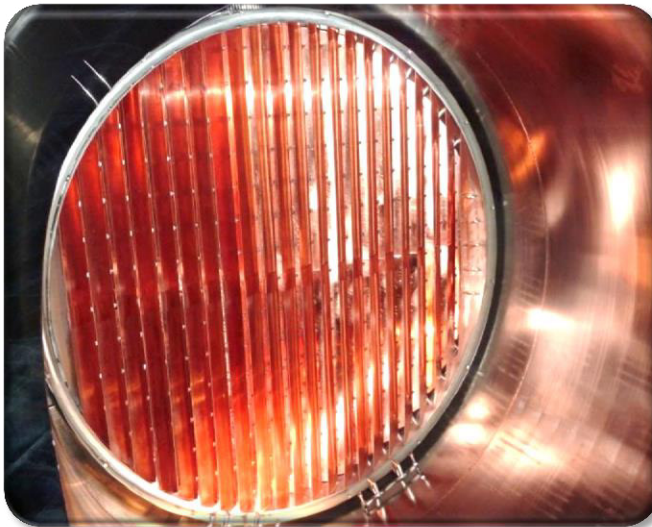


- Reduction of effective NEG pumping speed: 38%
- Reduction of ²¹⁹Rn flow into main vol. : ~ 0.6%
- Reduction of ²²⁰Rn flow into main vol. : ~ 6%

G. Drexlin et al., Vacuum 138 (2017), 165–172

Radon as source of background (solution)

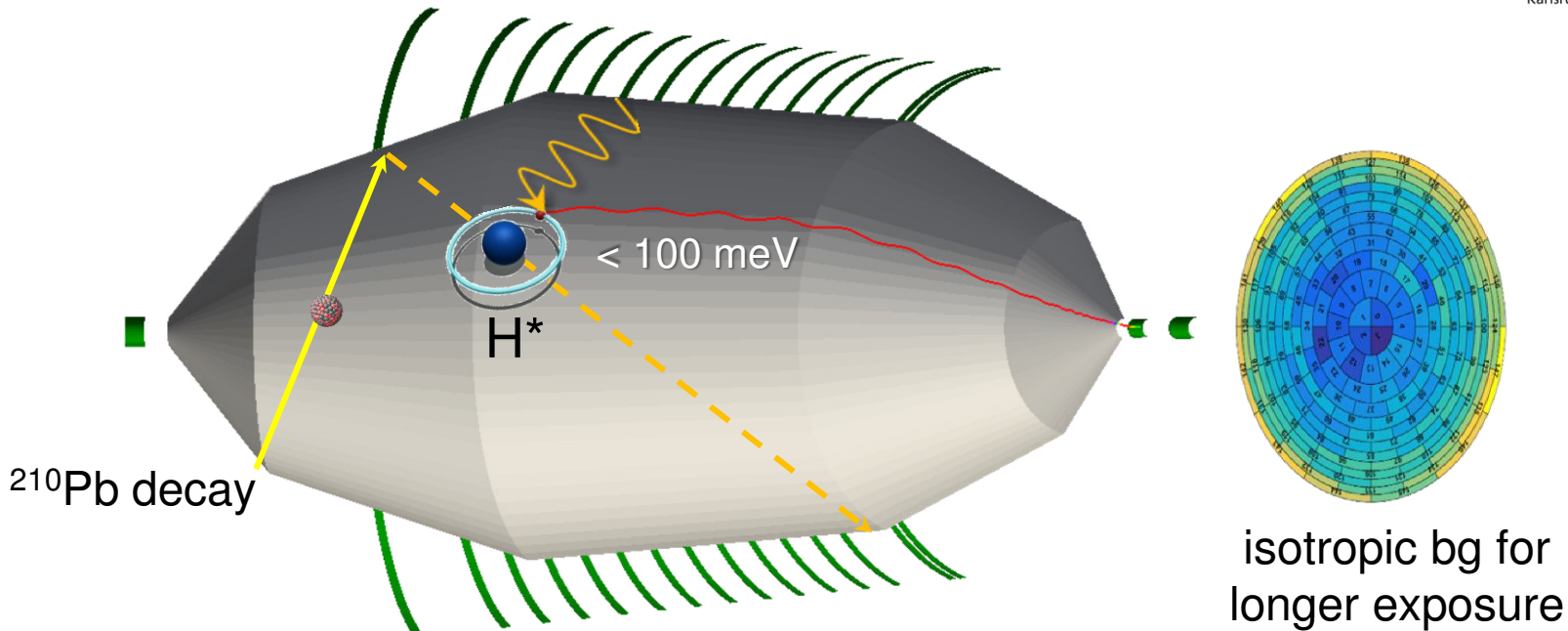
■ passive background reduction: LN₂-cooled baffles to cryo-sorb ²¹⁹Rn, ²²⁰Rn



- Reduction of effective NEG pumping speed: 38%
- Reduction of ²¹⁹Rn flow into main vol. : ~ 0.6%
- Reduction of ²²⁰Rn flow into main vol. : ~ 6%
- Pumping speed for ²¹⁹Rn from walls: 160 000 ℓ/s
- Pumping speed for ²²⁰Rn from walls: 75 000 ℓ/s

G. Drexlin et al., Vacuum 138 (2017), 165–172

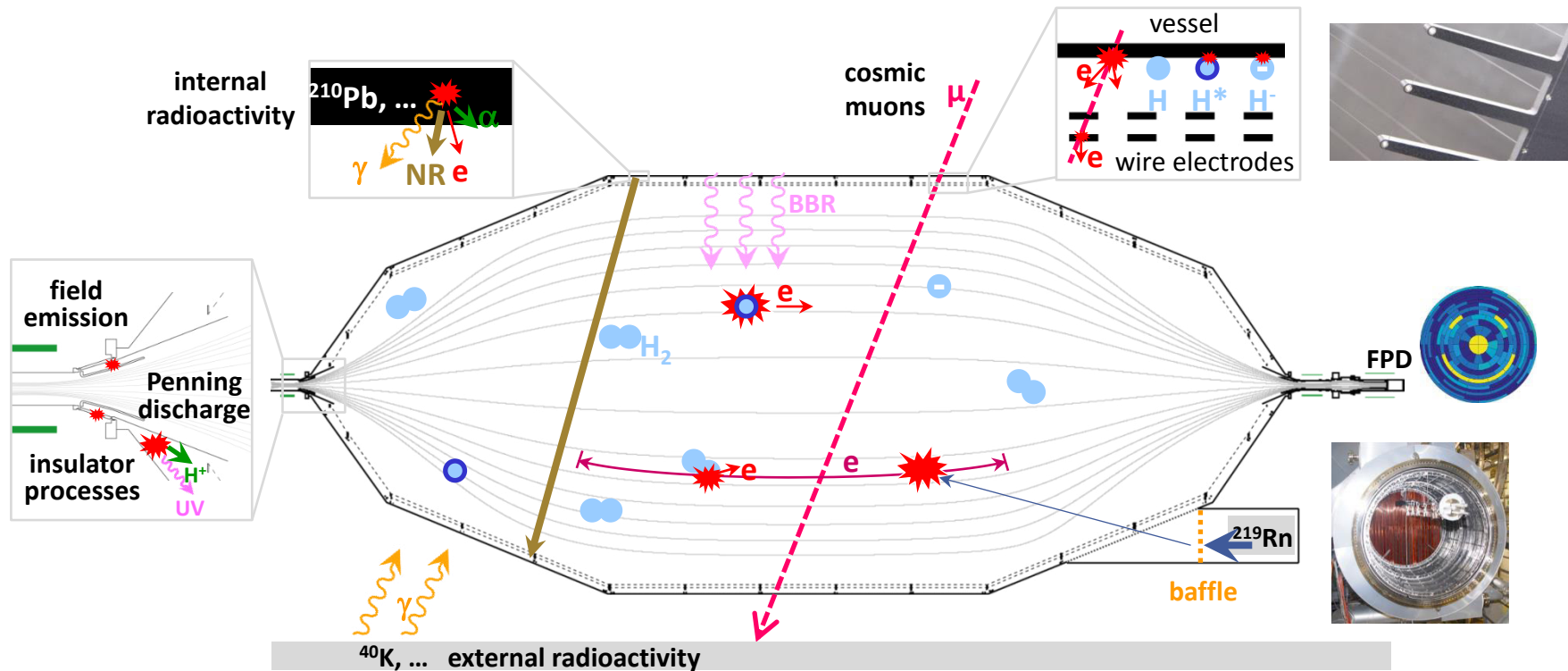
Remaining background (0.5 cps) ?



■ H^* Rydberg atoms:

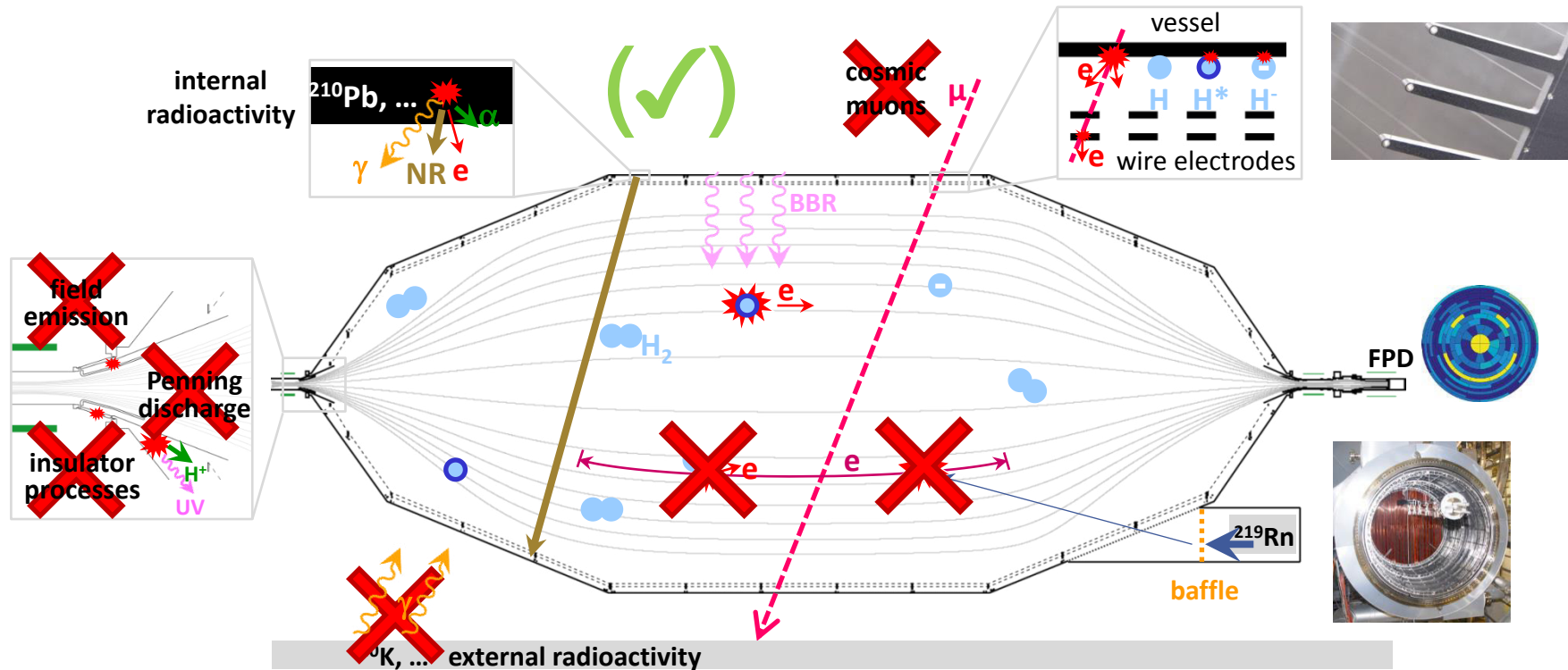
- desorbed from walls due to ^{206}Pb recoil ions
- non-trapped electrons on meV-scale
- **bg-rate: ~0.5 cps**
- **positive test with short-lived ^{220}Rn (^{212}Pb)**
- **countermeasures** (work in progress):
 - reduce H-atoms on surface: extended bake-out → 0.3 cps
 - strong B-field in center (smaller flux tube volume) → 0.2 cps

KATRIN main spectrometer backgrounds



- Various processes can contribute to the spectrometer background
- Spectrometer backgrounds were investigated in detail during two measurement phases

KATRIN main spectrometer backgrounds

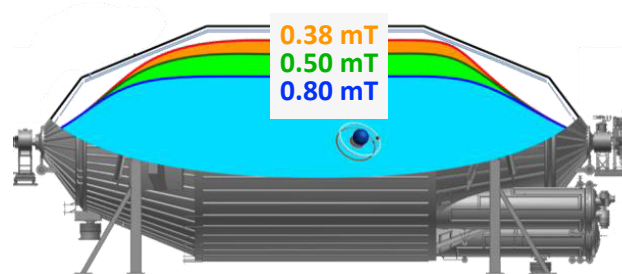
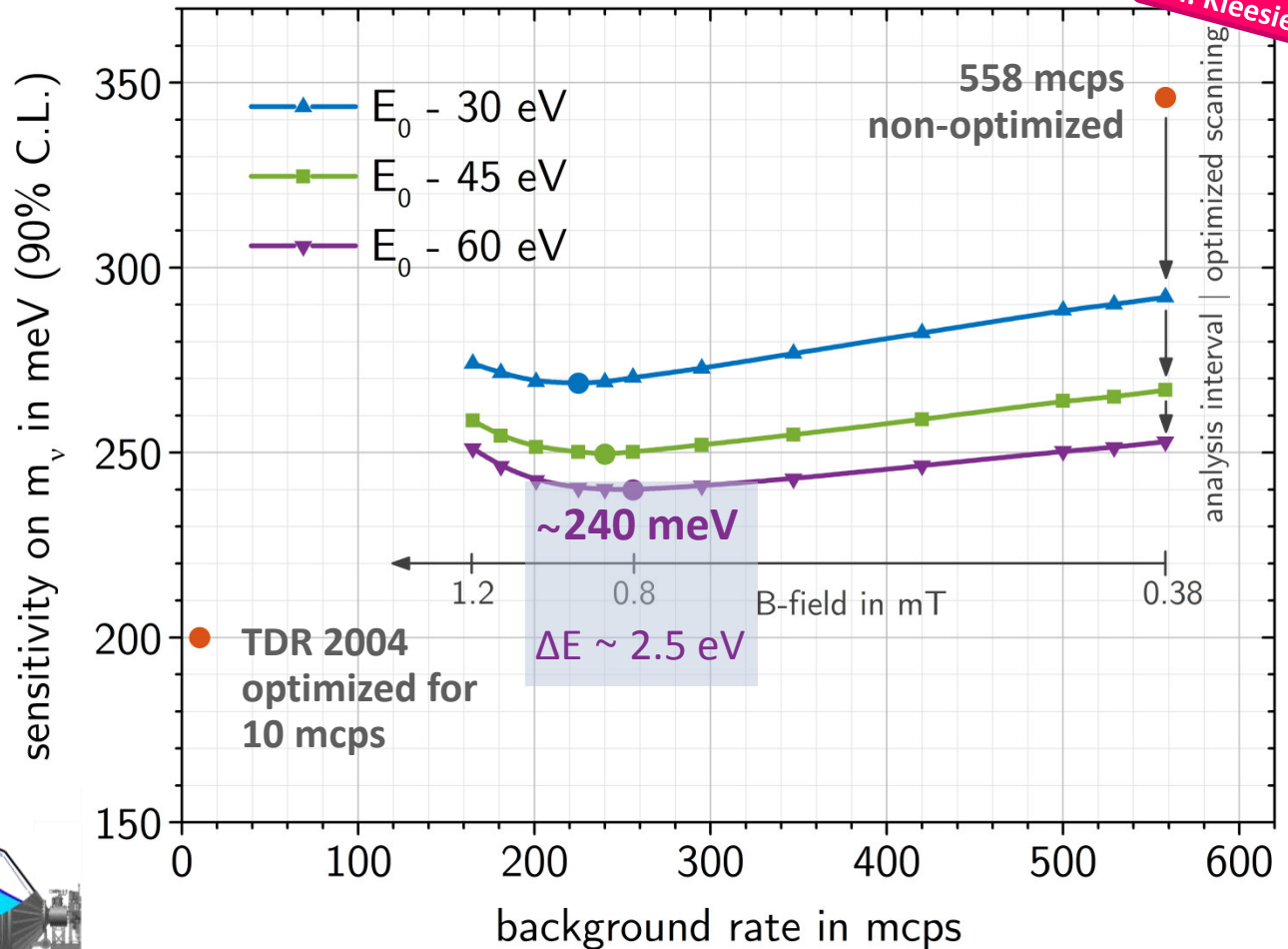


- All previously known background processes are efficiently suppressed
- Background rate about 50 times larger than design value (10 mcps), presumably due to ionization of Rydberg atoms by black body radiation

KATRIN background & sensitivity

- Further background reduction measures under investigation
- In addition: several mitigation strategies
 - optimized scanning
 - energy range of spectral analysis
 - flux tube compression by increasing B

M. Kleesiek

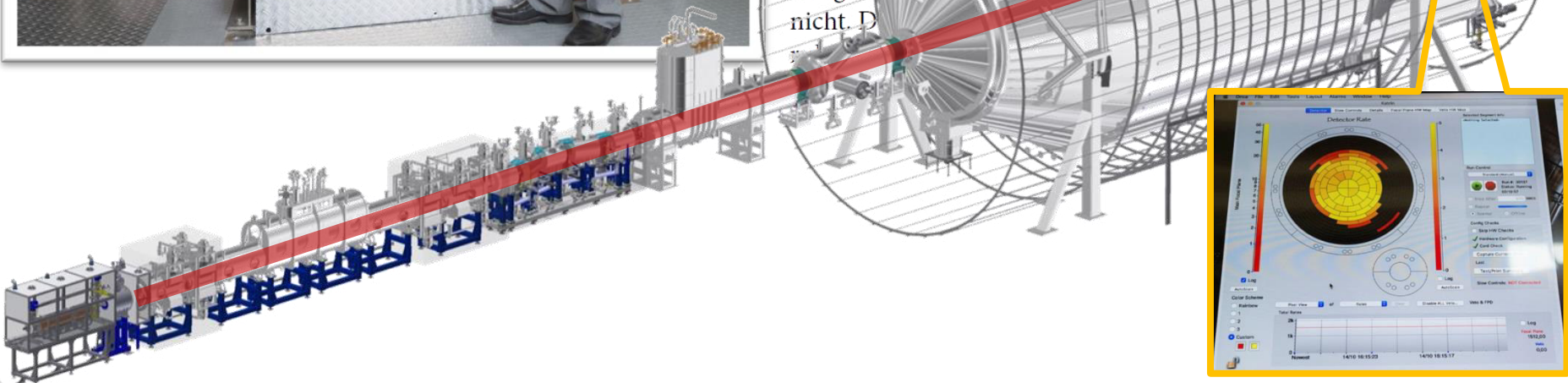


KATRIN milestone 2016 – first light

Neutrinos auf der Waage

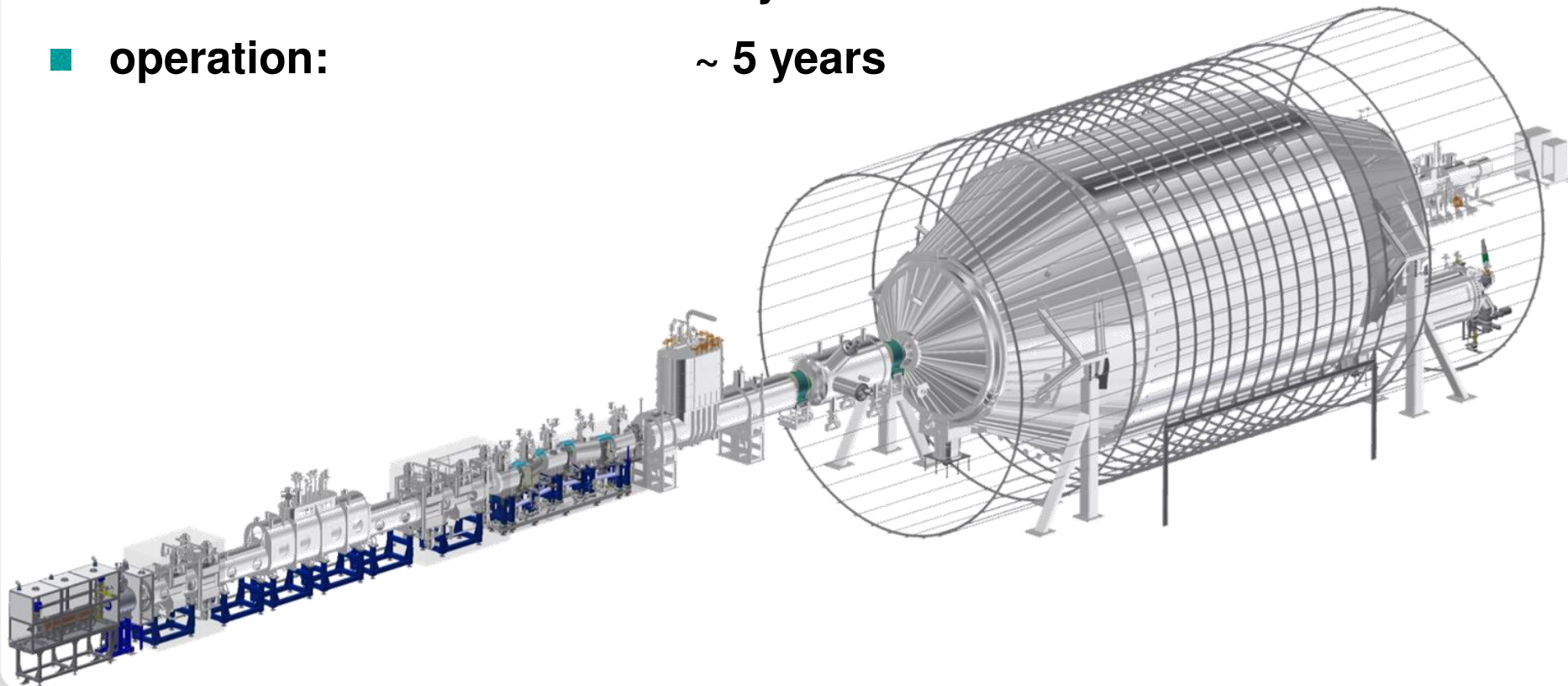
Am 14. Oktober durchflogen erstmals Elektronen das Experiment KATRIN

Neutrinos durchdringen uns jede Sekunde milliardenfach, ohne dass wir das Geringste davon bemerken würden. Lange Zeit galten die mysteriösen Teilchen daher als masselos. Seit dem Nachweis von Neutrino-Oszillationen im vergangenen Jahr wurde der Nobelpreis ausgeteilt, aber klar, dass die geringe Masse, wie groß sie ist, nicht. Die

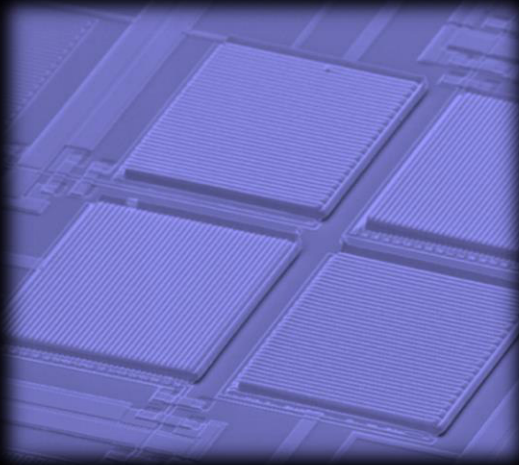


KATRIN – next steps

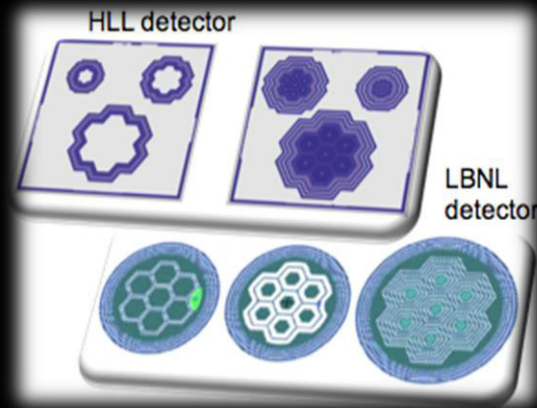
- final commissioning tests: now
- first tritium data **May 2018**
- operation: **~ 5 years**



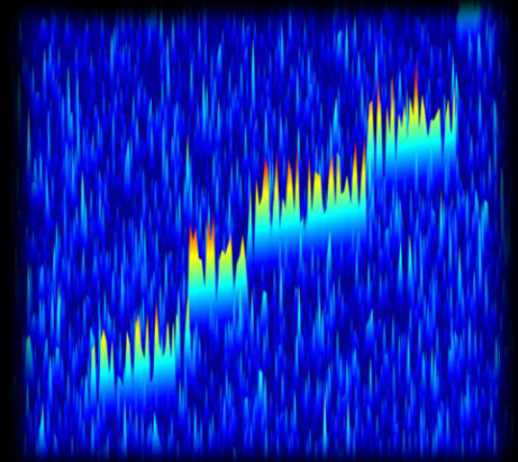
The Future of Neutrino Mass Measurements



© ECHo Collaboration
**cryogenic bolometer
with Holmium 163**



TRISTAN detector for KATRIN



© Project 8 Collaboration
**Cyclotron Resonance
Emission Spectroscopy
(CRES)**

Imprint of sterile neutrinos on β spectrum

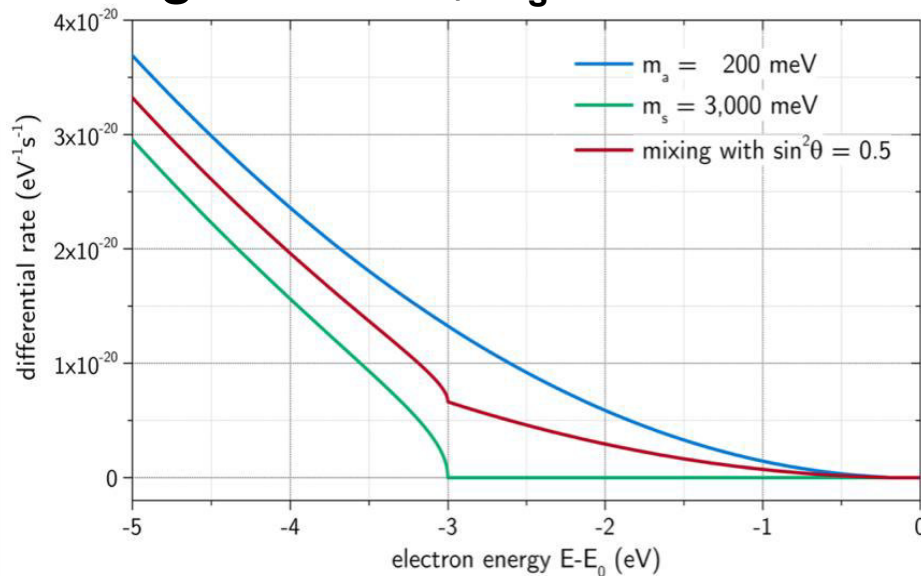
Shape modification below E_0 by active $(m_a)^2$ and sterile $(m_s)^2$ neutrinos:

$$\frac{dN}{dE} = \cos^2 \theta_s \frac{dN}{dE}(m_a^2) + \sin^2 \theta_s \frac{dN}{dE}(m_s^2)$$

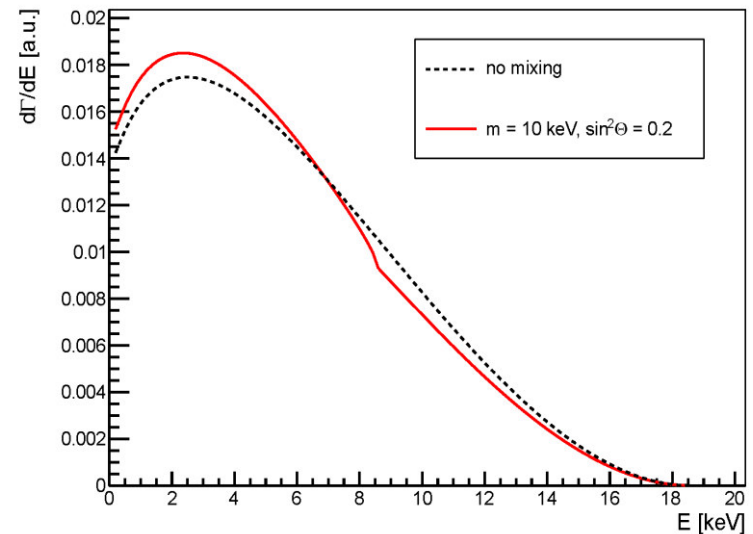


additional kink in β spectrum
at $E = E_0 - m_s$

light sterile ν , $m_s = 3$ eV



keV sterile ν , $m_s = 10$ keV



Why sterile neutrinos?

Both scales accessible in tritium β decay

Hints of eV-scale sterile neutrinos?

May explain anomalous oscillation results from

- Short baseline accelerator experiments
- Gallium experiments
- Reactor experiments

Hints of keV-scale sterile neutrinos?

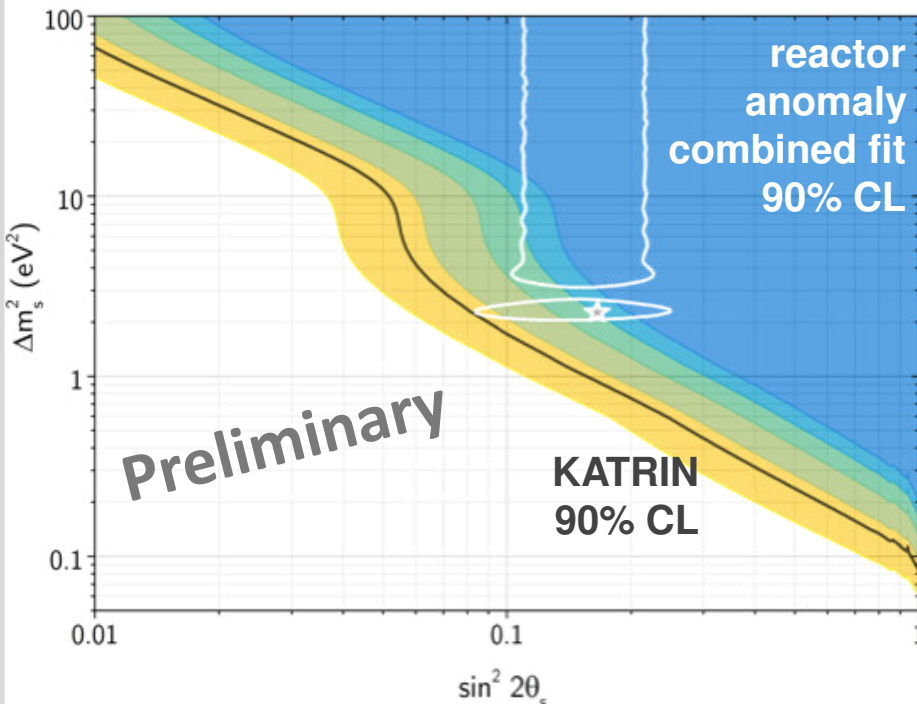
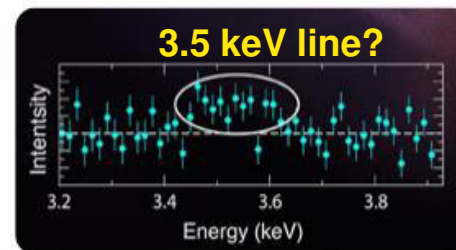
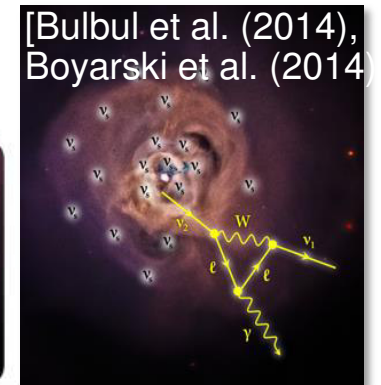
Well motivated as natural extension of Standard Model (ν MSM)

$\frac{2}{3}$ Left u up Right	$\frac{2}{3}$ Left c charm Right	$\frac{2}{3}$ Left t top Right
$-\frac{1}{3}$ Left d down Right	$-\frac{1}{3}$ Left s strange Right	$-\frac{1}{3}$ Left b bottom Right
< 1 eV Left ν_e electron Right	< 1 eV Left ν_{μ} muon Right	< 1 eV Left ν_{τ} tau Right
< 1 eV Left N_1 sterile neutrino Right	< 1 eV Left N_2 sterile neutrino Right	< 1 eV Left N_3 sterile neutrino Right
-1 Left e electron Right	-1 Left μ muon Right	-1 Left τ tau Right

[e.g., Canetti, Drewes, Shaposhnikov (2013)]

In agreement with cosmological observations from small to large scales

Recent indirect hints from X-ray astronomy?

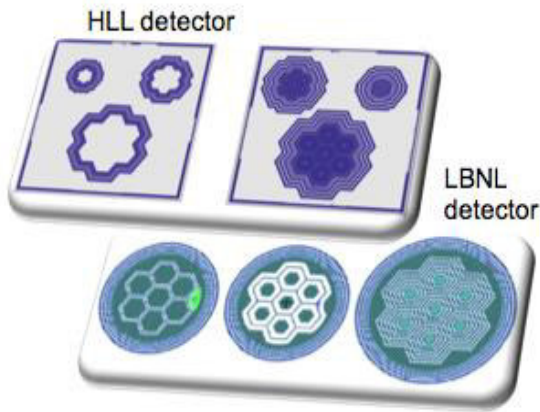


[M. Kleesiek, PhD thesis (KIT), 2014;

Search for keV-scale sterile ν with KATRIN

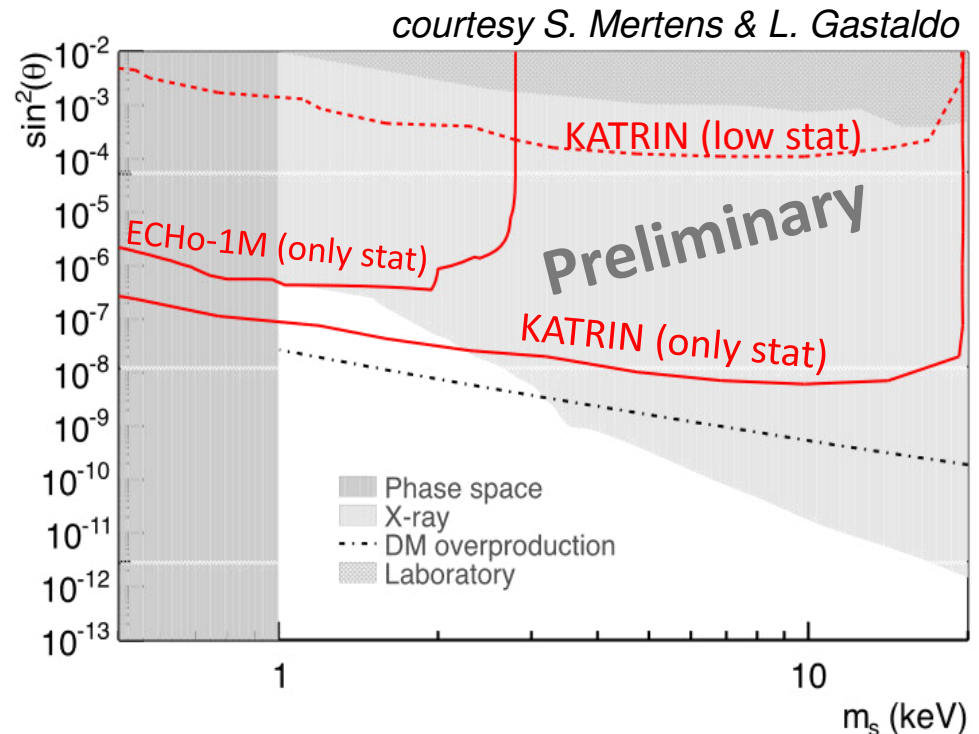
KIT
Karlsruhe Institute of Technology

- First measurements with KATRIN “baseline” set-up at reduced source strength
- Develop new multi-pixel SDD detector for differential energy measurement



TRISTAN SDD prototypes for KATRIN

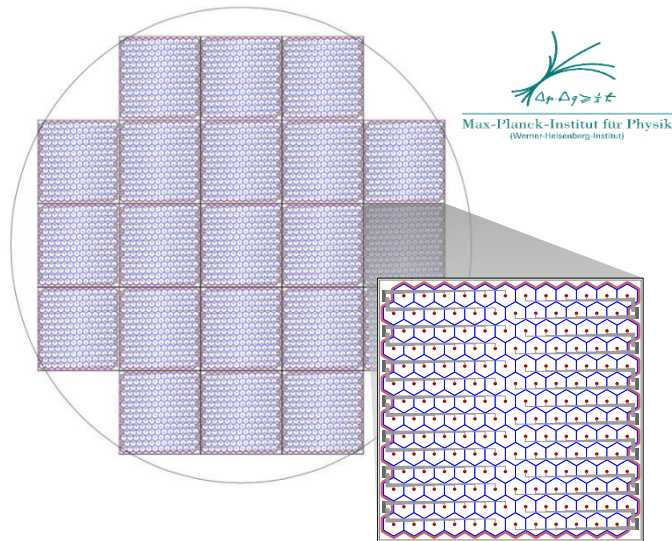
- thin dead-layer (< 100 nm)
- good resolution (300 eV @ 20 keV)
- low threshold (1 keV)
- high rates (70'000 cps/pixel)



Search for keV-scale sterile ν with KATRIN

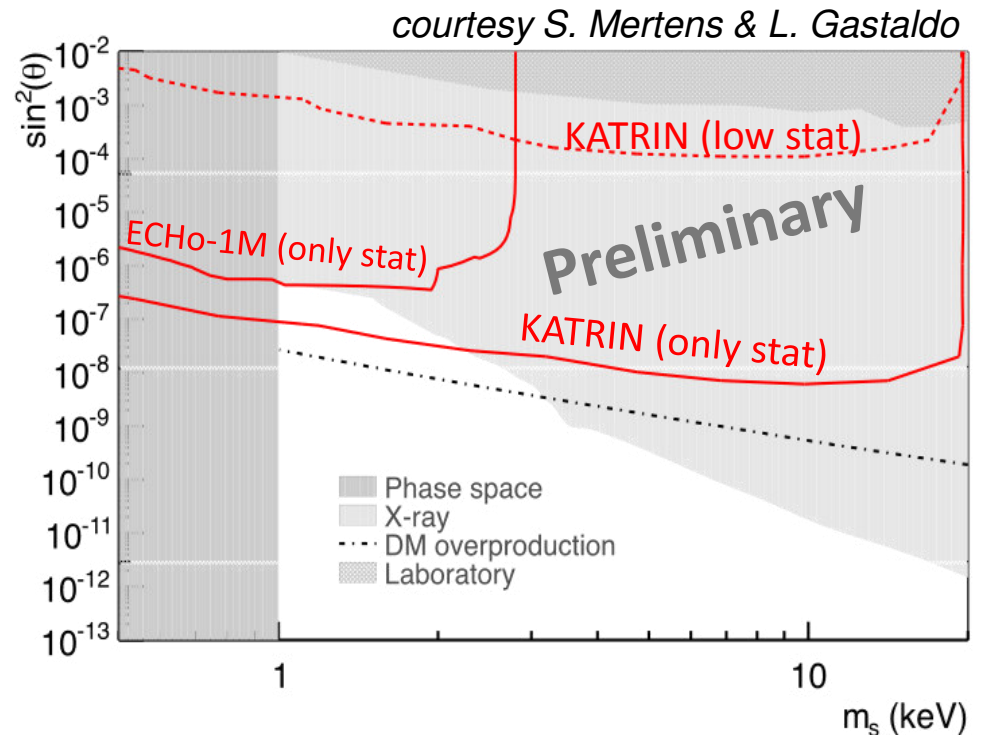
Karlsruhe Institute of Technology

- First measurements with KATRIN “baseline” set-up at reduced source strength
- Develop new multi-pixel SDD detector for differential energy measurement
- Handling of high rates ($> 10^9$ cps) with 3000 – 4000 pixels



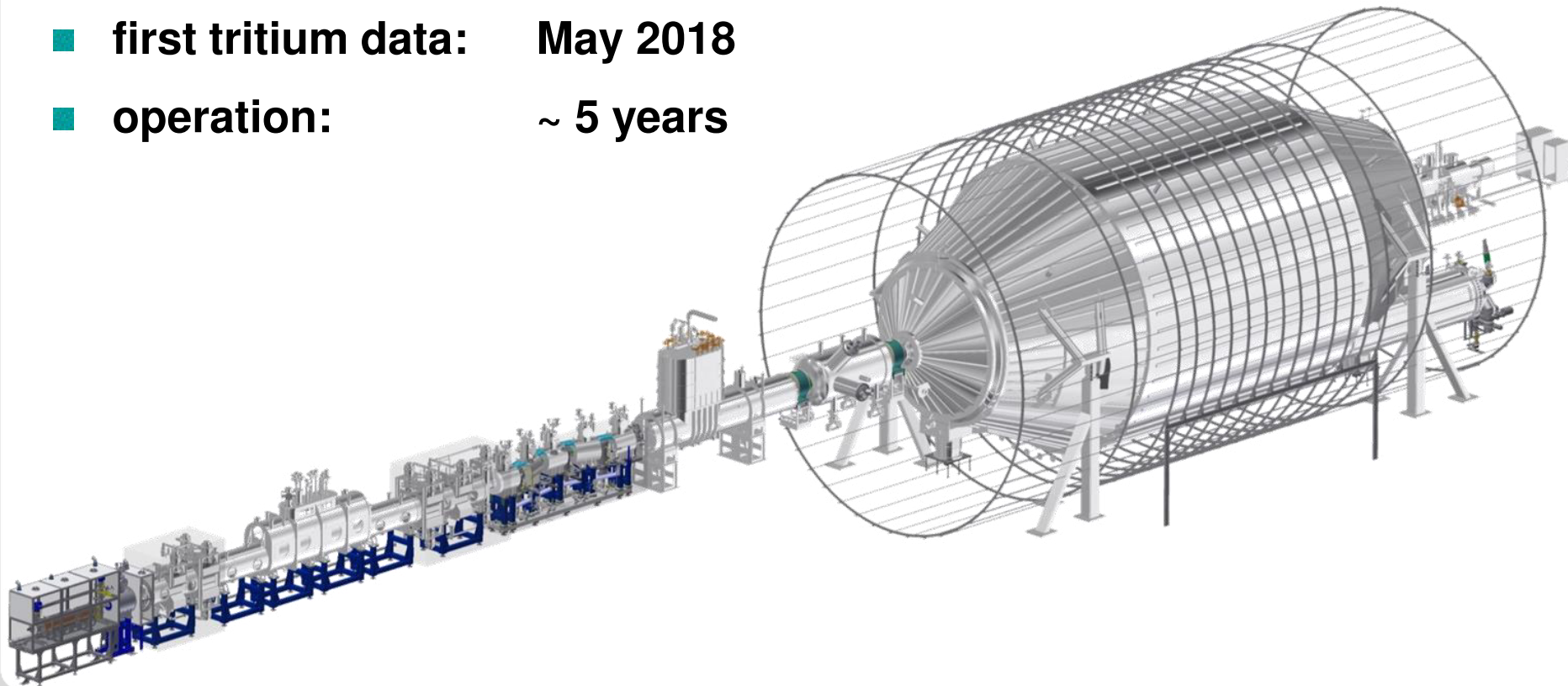
TRISTAN SDD prototypes for KATRIN

- thin dead-layer (< 100 nm)
- good resolution (300 eV @ 20 keV)
- low threshold (1 keV)
- high rates (70'000 cps/pixel)



Conclusions

- **KATRIN will be the ultimate MAC-E Filter**
- **many technical challenges solved**
- **final commissioning tests ongoing**
- **first tritium data: May 2018**
- **operation: ~ 5 years**



Thank you for your attention

